



**to:** Nick Merciez and DMAS staff      **date:** January 20, 2016  
**cc:** CCC Duals Health Plans

**from:** Sandra Hunt      **subject:** MEMA Adjustment Effective January 1, 2016  
Susan Maerki      Using CY 2016 CCC Duals Rates

### **CY 2016 CCC Duals Rates Effective January 1, 2016**

PricewaterhouseCoopers LLP (PwC) prepared capitation rates for the Medicaid portion of the Virginia Medicare-Medicaid Financial Alignment Demonstration for Calendar Year 2016 for rates effective January 1, 2016. The CY 2016 CCC Duals base rates were published in a report to DMAS dated December 9, 2015. Those CY 2016 base rates are unchanged and are used to develop the MEMA adjustment effective January 1, 2016. However, the savings adjustment percentage applied to those base rates has been reduced to 1% for CY 2016. That change is incorporated in the CCC Duals regional and health plan specific rate workbooks that accompany this memo. The December 2015 report will be revised to incorporate the change and will be reissued. The demonstration is called Commonwealth Coordinated Care (CCC) or the Dual Demonstration in this memo.

### **Commonwealth Coordinated Care MEMA Adjustment**

As part of the rate development for the Medicaid component of Commonwealth Coordinated Care, the member enrollment mix adjustment (MEMA) provides an update of the rate to reflect the risk mix of enrollees in each Medicaid Medicare Plan (MMP). Specifically, the MEMA addresses the relative risk/cost differences of the sub-populations that make up the combined rate for Nursing Home Eligibles (NHE). The two sub-populations are the Nursing Home Eligible – Institutional (NHE-I) and Nursing Home Eligible – Waiver (NHE-W) populations. The MEMA provides more revenue to health plans that have a greater proportion of high risk/cost individuals residing in an institution at the time of their enrollment into the CCC, while health plans with greater proportion of enrollees who are Nursing Home Eligible but receive waiver services and remain in the community at the time of initial enrollment receive a lower NHE blended capitation rate. The process for further updating the MEMA is described in more detail below.

As stated on page 15 in the final CY 2014 CCC Medicaid rate report dated November 2013:

“The NHE Age 21-64 and Age 65 and Over regional blended rates will be revised prior to the 2014 implementation. DMAS will apply the MEMA as measured closer to the Demonstration voluntary dates, in order to reflect the updated mix of NHE-Institutional and NHE-Waiver eligibles, and at the passive enrollment dates, in order to reflect those who voluntarily enroll and those who initially accept passive enrollment assignment. There will be further adjustments to NHE rate over the course of the Demonstration.”



The CCC enrollment in Central Virginia, Northern Virginia, Southwest/Roanoke, Tidewater, and Western /Charlottesville region uses the CY 2016 base rates to calculate the health plan specific MEMAs that will be used for MMP rates in effect from January 1, 2016 through June 30, 2016.

Future updates to the health plan regional MEMA will occur twice a year for the remainder of the Duals Demonstration and follow the policy recommendations described in a memo dated September 30, 2013, which is available on the DMAS website at [http://www.dmas.virginia.gov/Content\\_pgs/altc-enrl.aspx](http://www.dmas.virginia.gov/Content_pgs/altc-enrl.aspx).

### **Savings Adjustment and Quality Withhold**

The region and health plan specific MEMAs for the NHE population and the regional Community Well base rates are subject to two further adjustments, the savings adjustment and the quality withhold.

DMAS submitted a request to CMS that the CY 2016 savings adjustment remain at -1% rather than increase to -2%, as in the terms of the original MOU. DMAS recently was informed that the request was approved. Therefore, the savings adjustment will remain at -1% during CY16. There is a second payment adjustment for the quality withhold. Up until the July 2015 MEMA update, the MEMA rates reported in these memos did not include the quality withhold. The CY 2016 actual rates paid to the MMPs will equal the MEMA adjusted final rates with the -1% savings adjustment and the -2% quality withhold. Plans may earn back the “withheld” amount if they fully meet the quality criteria. Both the change to the savings adjustment and the -2% quality withhold are shown in the exhibits to reflect the monthly rates that will actually be paid to the MMPs.

### **January 2016 CCC Eligibles: Benchmark for NHE MEMA Adjustment**

The NHE population is a combination of the NHE-Institutional and the NHE-Waiver populations. The first MEMA update, January 2014, established a baseline distribution of the NHE-I and the NHE-W eligibles, and represented a snapshot of the risk mix of eligibles at the start of the Duals Demonstration program. As auto enrollment effective dates were phased-in by region, health plan specific NHE MEMA were developed. The at-enrollment risk designation for each NHE eligible individual is held constant for the first two years of the demonstration, until December 31, 2015. Ongoing calculations adjust for NHE members who leave the program voluntarily or because of death. The risk designation of new NHE enrollees is held constant at the point when each is first identified as NHE eligible until there is an update. At the beginning of the third and fourth years, the NHE member risk designation will be updated to the NHE status at the beginning of the previous year or, for a new NHE member during the previous year, the NHE status at the point of NHE eligibility. Holding the risk designation constant for a period of time is intended to incentivize MMPs to keep members in the community (NHE-W) rather than transition them to institutions (NHE-I) and, when feasible, move members from institutions to the community.



Because it is the third year of the Dual Demonstration, the January 1, 2016 update adheres to this policy. All enrollment is categorized as NHE-I, NHE-W or CW starting with their status as of January 1, 2015. All CCC Duals members enrolled between March 1, 2014 and December 31, 2014 were reviewed to determine if each remained in the same sub-population or had moved to a different sub-population. All enrollees after January 1, 2015 are held constant in their original population category.

Throughout the base period used to develop the CCC Duals rates, there has been a decrease in the proportion of the NHE-Institutional eligible population and an increase in the proportion of the NHE-Waiver population. Over the period January 2011 to June 2014, the final month used to set the CY 2016 CCC Duals rates, the NHE-I population increased 1.0% and the NHE-Waiver population increased 24.9%. As of June 2014, the NHE-I was 44.4% and the NHE-W was 55.6% of the NHE eligibles.

By July 2015, the eligible member month distribution applied to the CY 2016 rates, the NHE-I subpopulation was 42.6% and the NHE-W was 57.4% of the NHE eligibles. The January 2016 NHE member month eligible distribution continues this trend. Overall, NHE-I is down to 40.2% and NHE-Waiver is 59.8% of the NHE eligibles. As of January 1, 2016, there were 76,758 CCC Dual eligibles in the five regions, with 24,527 in NHE and 52,231 in Community Well (CW).

The remainder of this memo updates the regional MEMA using CCC Duals enrollment as of January 1, 2016 and the CY 2016 CCC Duals rates.

### **Enrollment of CCC Duals Eligibles as of January 2016**

Approximately 35% of the CCC Duals eligibles are enrolled in MMPs as of January 1, 2016. Among the regions that completed auto assignment by the end of 2014, the enrollment penetration ranges from 38.6% in Central Virginia to 41.5% in Tidewater. Enrollment penetration in Northern Virginia (NOVA) is much lower, 18.0%. The NOVA eligibility penetration is 26.2% for NHE-I, 9.9% for NHE-W, and 19.1% for CW. This compares to the other four regions where eligibility penetration is 38.8% for NHE-I, 22.0% for NHE-W, and 45.9% for CW.

There have been enrollment declines in all five of the CCC Duals regions since July 1, 2015, particularly in Northern Virginia. The NOVA decline is consistent with the pattern that was observed in the other regions in the months after auto-enrollment. If the Northern Virginia region is excluded from the percent of eligibles enrolled calculation, there is a 39.6% enrollment penetration rate.

We observe relatively consistent enrollment patterns across the five regions:



- Enrollment declines in the months after the auto-enrollment date. The largest decrease is in the month immediately after the auto-enrollment month, with smaller declines in later months.
- Except for a small increase in enrollment between December 2014, and January 2015 and, between June and July 2015, the CCC Duals enrollment has decreased between each MEMA calculation. We believe the January 2015 increase is consistent with the effective date of Medicare plan effective dates at the beginning of the year and the July 2015 enrollment increase is due to localities in NOVA, Southwest/Roanoke and Western/Charlottesville where new plan networks were approved and the CCC Dual eligibles were auto-assigned.
- Between July 2015 and January 2016, enrollment declined 2.9%. The six-month measure ranges from a -0.5% decrease in Tidewater to an 8.4% decrease in Northern Virginia.
- The percent of eligibles enrollment penetration is highest within the Community Well population, followed by the NHE-Institutional, and lowest within the NHE-Waiver population. Excluding Northern Virginia, the range in enrollment penetration is 44% to 48% for Community Well, 31% to 39% for NHE-Institutional, and substantially less, 21% to 25%, within NHE-Waiver.
- Because of differences in the enrollment penetration, the actual enrollment mix has a higher proportion of Community Well and a lower proportion of NHE than observed in the eligible population. For example, nearly 68% of the January 2016 eligibles are Community Well and they are over 77% of the CCC Dual enrollees. NHE-W are 19% of the January 2016 eligibles and only 11% of the enrollees. The proportion of NHE-I is very similar for both eligibles and enrollees at 12% TO 13%. Because CW enrollees are over three quarters of the enrollees and they are the lowest cost subpopulation, the weighted average regional PMPM payments to the MMPs are lower than the payments estimated in the CY 2016 CCC Duals rate development.

Results of the January 2016 MEMA update for the five regions are in the order of the phased CCC Duals regional implementation. All PMPM payments in the regional MEMA attachments reflect the January 2016 enrollment mix applied to the CY 2016 CCC Duals rates and include the -1% savings adjustment and the -2% quality withhold.

### **Tidewater Enrollees: Rates Effective January 1, 2016**

The January 2016 MEMA update identified 18,720 individuals in Tidewater as eligible for the CCC. As of January 1, 2016, there were 7,767 enrollees in CCC participating health plans in that region, about 41% of the Tidewater eligibles. January enrollment penetration varies by subpopulation category, with 27.7% of the NHE eligibles and 47.7% of the Community Well eligibles enrolled. Within the NHE, there is only a 1% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. Within the CW population, there is about a 10% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. This comparison is shown in Table 2.



Of the total Tidewater CCC enrollees in January 2016, approximately 21%, or 1,608, are in the NHE aid category. Within the NHE population, 54% are NHE-Institutional and 46% are NHE-Waiver. This is different from the Tidewater January 2016 distribution of eligibles. The January snapshot showed 31% as NHE, and a NHE mix that was 40% in NHE-Institutional and 60% in NHE-Waiver.

The Tidewater CCC enrollment decreased between January 1, 2015 and January 1, 2016. Enrollment in July 2014 stood at 9,768 and represented 52% of eligibles identified in January 2014. Enrollment decreased approximately 9% in August 2014. Since then, the disenrollment slowed and January 2015 saw a slight increase in enrollment, followed by continuing declines. Tidewater CCC Duals enrollment in January 2016 is 20% lower than the first month of auto enrollment in July 2014.

Rates paid to the Tidewater plans for the next six months are presented in the attached exhibits.

### **Central Virginia Enrollees: Rates Effective January 1, 2016**

The January 2016 MEMA update identified 22,598 individuals in Central VA as eligible for the CCC. As of January 1, 2016, there were 8,712 enrollees in CCC participating health plans in that region, about 39% of the Central VA eligibles. January enrollment penetration varies by aid category; about 26% of the NHE eligibles and 45% of the Community Well eligibles are enrolled. Within the NHE, there is a 4% lower enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. Within the CW population, there is an 8% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. This comparison is shown in Table 3.

Of the total Central VA CCC enrollees in January 2016, approximately 23%, or 2,008, are in the NHE aid category. Within the NHE population, 48% are NHE-Institutional and 52% are NHE-Waiver. This is different from the Central VA January 2016 distribution of eligibles. The January snapshot showed 34% as NHE, and a NHE mix that was 40% in NHE-Institutional and 60% in NHE-Waiver.

Similar to the Tidewater region, Central VA CCC enrollment decreased from the original auto-enrollment date of September 1, 2014 through January 1, 2016. Enrollment in September stood at 11,348. Enrollment decreased approximately 7% in October, to 10,606. The disenrollment has slowed, and January 2015 saw a slight increase in the enrollment. Since then, there has been further disenrollment. As of January 2016, the Central VA CCC Duals enrollment is about 23% lower than the September 2014 enrollment.

Rates paid to the Central VA plans for the next six months are presented in the attached exhibits.



### Southwest/Roanoke Enrollees: Rates Effective January 1, 2016

The January 2016 MEMA update identified 12,071 individuals in the Southwest Roanoke region as eligible for the CCC. As of January 1, 2016, there were 4,714 enrollees in CCC participating health plans in that region, about 39% of the Southwest Roanoke eligibles. January enrollment penetration varies by aid category with about 26% of the NHE eligibles and 45% of the Community Well eligibles enrolled. Within the NHE, there is almost a 1% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. Within the CW population, there is about an 8% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. This comparison is shown in Table 4.

Of the total Southwest Roanoke CCC enrollees in January 2016, approximately 22.4%, or 1,054, are in the NHE aid category. Within the NHE population, 60% are NHE-Institutional and 40% are NHE-Waiver. This is different from the Western Roanoke January 2016 distribution of eligibles. The January snapshot showed 33% as NHE, and a NHE mix that was 49% in NHE-Institutional and 51% in NHE-Waiver.

The Southwest Roanoke CCC enrollment decreased between the original auto-enrollment date of October 1, 2014 and January 1, 2016. Enrollment in October stood at 4,933 and represented 39% of eligibles identified in January 2014. Enrollment decreased approximately 3% in November, to 4,796. The disenrollment slowed and January 2015 saw a slight increase in the enrollment numbers. Although there is an increase in July 2015 due to new auto enrollment in Wyeth, the Southwest/Roanoke CCC Duals enrollment in January 2016 is 4.4% lower than the October 2014 enrollment.

Rates paid to the Southwest Roanoke plans for the next six months are presented in the attached exhibits.

### Western/Charlottesville Enrollees: Rates Effective January 1, 2016

The January 2016 MEMA update identified 6,374 individuals in the Western Charlottesville region as eligible for the CCC. As of January 1, 2016, there were 2,496 enrollees in CCC participating health plans in that region, about 39% of the Western Charlottesville eligibles. January enrollment penetration varies by aid category with about 29% of the NHE eligibles and 45% of the Community Well eligibles enrolled. Within the NHE, there is a 1% lower enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. Within the CW population, there is a 4% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. This comparison is shown in Table 5.

Of the total Western Charlottesville CCC enrollees in January 2016, approximately 27%, or 672, are in the NHE aid category. Within the NHE enrollee population, 55% are NHE-Institutional and 45% are NHE-Waiver. This is different from the Western Charlottesville January 2016 distribution of eligibles. The January snapshot showed 36% as NHE, and a NHE mix that was 45% in NHE-Institutional and 55% in NHE-Waiver.



The Western Charlottesville CCC enrollment decreased between October 1, 2014 and July 2015. Enrollment in October stood at 2,931 and represented 39% of eligibles identified in January 2014. Enrollment decreased approximately 7% in November 2014. Disenrollment has slowed, and there was a slight increase in January 2015, followed by continuing disenrollment. The Western/Charlottesville CCC Duals January 2016 enrollment is 15% lower than the October 2014 enrollment.

Rates paid to the Western Charlottesville plans for the next six months are presented in the attached exhibits.

### **Northern Virginia Enrollees: Rates Effective January 1, 2016**

The January 2016 MEMA update identified 17,012 individuals in the NOVA region eligible for the CCC. This includes CCC eligibles in counties that were not approved for auto enrollment at the start of the demonstration. As of January 1, 2016, there were 3,055 enrollees in CCC participating health plans in that region, about 18% of the NOVA eligibles. July 2015 was the first month that CCC eligibles could be auto enrolled in four of the more populous cities/counties in the region. However, enrollment has declined from the peak in July 2015. January 2016 enrollment penetration varies by aid subpopulation with about 15% of the NHE eligibles and 19% of the Community Well eligibles enrolled. Within the NHE, there is about an 8% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. Within the CW population, there is almost a 15% higher enrollment penetration among the Under 65 eligibles compared to the Over 65 eligibles. This comparison is shown in Table 6.

Of the total Northern Virginia CCC enrollees in January 2016, approximately 23%, or 704, are in the NHE aid category. Within the NHE population, 54% are NHE-Institutional and 46% are NHE-Waiver.

Prior to the July 2015 expansion, the Northern Virginia CCC enrollment decreased each month between November 2014 and June 2015. Enrollment in November stood at 1,682 and represented 10.2% of eligibles identified in January 2014 and declined to 1,419 by June 2015. Enrollment more than doubled in July 2015 with auto assignment of Duals in the previously ineligible cities and counties.

Rates paid to the Northern Virginia plans for the next six months will reflect the enrollment as of January 1, 2016 are presented in the attached exhibits.



### Attachments for MEMA Adjustment Effective January 1, 2016

There are five MEMA regional exhibits included with this memo, one for each of the regions with a MEMA updated for January 1, 2016 enrollment. Each set of exhibits uses the same layout and replaces capitation rates in the CY 2016 CCC Duals report Exhibits 5-6. The worksheets in the regional exhibits are:

- Exhibit 5a presents the regional NHE blending using the January 2016 enrollment distribution. The PMPM values used for the weighting are those in Exhibit 5a in the CY 2016 CCC Duals Demonstration rates effective January 1, 2016.
- Exhibit 5b summarizes the NHE blended rates by health plan and adds the CY 2016 rates for Community Well. The weighted average uses the January 2016 enrollment mix.
- The second year 1% savings percentage adjustment in Exhibit 5c is applied to the revised base rates and the adjusted results are presented in Exhibit 5d. These are the maximum rates that plans could eventually be paid if they meet all quality requirements.
- Exhibit 5e is the quality withhold to be applied to the final rates in Exhibit 5d. The final adjusted results presented in Exhibit 5f will be paid to the MMPs in the monthly capitation payments.
- Exhibit 5g in each regional exhibit shows the January 2016 CCC Duals enrollment member month distribution.



**Table 1**  
**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**MEMA: NHE-Institutional and NHE-Waiver Eligibles**  
**Schedule for Health Plan MEMA Updates**

Region	Auto Enrollment	Effective Dates		
		Three Month Actual	CY16 1 <sup>st</sup> MEMA Reset	CY16 Mid-Year MEMA Reset
<b>Phase I</b>				
Tidewater	July 2014			
	August 2014	October 2014	January 2016	July 2016
Central VA	September 2014	December 2014	January 2016	July 2016
<b>Phase II</b>				
SW/Roanoke	October 2014	January 2015	January 2016	July 2016
W/Charlottesville	October 2014	January 2015	January 2016	July 2016
NOVA	November 2014	February 2015	January 2016	July 2016

**Table 2**



**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Tidewater MEMA: Benchmark and Voluntary and Auto Enrollment  
 Member Months: January 2016 Eligibles Compared to January 2016 Enrollees**

		Tidewater				
		January 2016		January 2016		
MEG	Age Group	Eligibles	% Distribution	Enrolled	% Distribution	% Jan Eligibles
<b>Nursing Home Eligible</b>	Age 21 - 64	1,582	8.4%	445	5.7%	28.1%
	Age 65 and Over	4,234	22.6%	1,164	15.0%	27.5%
<b>Nursing Home Eligible Total</b>		5,816	31.1%	1,608	20.7%	27.7%
<b>Community Well</b>	Age 21-64	7,406	39.6%	3,853	49.6%	52.0%
	Age 65 and Over	5,498	29.4%	2,305	29.7%	41.9%
<b>Community Well Total</b>		12,905	68.9%	6,159	79.3%	47.7%
<b>Total</b>		18,720	100.0%	7,767	100.0%	41.5%



**Table 3**

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Central VA MEMA: Benchmark and Voluntary and Auto Enrollment  
 Member Months: January 2016 Eligibles Compared to January 2016 Enrollees**

		Central Virginia				
		January 2016		January 2016		
MEG	Age Group	Eligibles	% Distribution	Enrolled	% Distribution	% Jan Eligibles
<b>Nursing Home Eligible</b>	Age 21 - 64	1,978	8.8%	457	5.2%	23.1%
	Age 65 and Over	5,727	25.3%	1,551	17.8%	27.1%
<b>Nursing Home Eligible Total</b>		7,705	34.1%	2,008	23.0%	26.1%
<b>Community Well</b>	Age 21-64	8,368	37.0%	4,046	46.5%	48.4%
	Age 65 and Over	6,526	28.9%	2,658	30.5%	40.7%
<b>Community Well Total</b>		14,893	65.9%	6,704	77.0%	45.0%
<b>Total</b>		22,598	100.0%	8,712	100.0%	38.6%



**Table 4**

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Southwest/Roanoke MEMA: Benchmark and Voluntary and Auto Enrollment  
 Member Months: January 2016 Eligibles Compared to January 2016 Enrollees**

		Southwest / Roanoke				
		January 2016		January 2016		
MEG	Age Group	Eligibles	% Distribution	Enrolled	% Distribution	% Jan Eligibles
Nursing Home Eligible	Age 21 - 64	1,138	9.4%	307	6.5%	27.0%
	Age 65 and Over	2,859	23.7%	747	15.8%	26.1%
<b>Nursing Home Eligible Total</b>		3,997	33.1%	1,054	22.4%	26.4%
Community Well	Age 21-64	5,117	42.4%	2,473	52.5%	48.3%
	Age 65 and Over	2,957	24.5%	1,187	25.2%	40.2%
<b>Community Well Total</b>		8,074	66.9%	3,660	77.6%	45.3%
<b>Total</b>		12,071	100.0%	4,714	100.0%	39.1%



**Table 5**

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Western/Charlottesville MEMA: Benchmark and Voluntary and Auto Enrollment  
 Member Months: January 2016 Eligibles Compared to January 2016 Enrollees**

		Western / Charlottesville				
		January 2016		January 2016		
MEG	Age Group	Eligibles	% Distribution	Enrolled	% Distribution	% Jan Eligibles
Nursing Home Eligible	Age 21 - 64	592	9.3%	168	6.7%	28.4%
	Age 65 and Over	1,695	26.7%	504	20.2%	29.7%
<b>Nursing Home Eligible Total</b>		2,287	36.0%	672	26.9%	29.4%
Community Well	Age 21-64	2,294	36.1%	1,072	42.9%	46.7%
	Age 65 and Over	1,775	27.9%	752	30.1%	42.4%
<b>Community Well Total</b>		4,069	64.0%	1,824	73.1%	44.8%
<b>Total</b>		6,356	100.0%	2,496	100.0%	39.3%



**Table 6**

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Northern Virginia MEMA: Benchmark and Voluntary and Auto Enrollment  
 Member Months: January 2016 Eligibles Compared to January 2016 Enrollees**

		Northern Virginia				
		January 2016		January 2016		
MEG	Age Group	Eligibles	% Distribution	Enrolled	% Distribution	% Jan Eligibles
Nursing Home Eligible	Age 21 - 64	718	4.2%	156	5.1%	21.7%
	Age 65 and Over	4,004	23.5%	548	17.9%	13.7%
<b>Nursing Home Eligible Total</b>		4,722	27.8%	704	23.1%	14.9%
Community Well	Age 21-64	2,554	15.0%	782	25.6%	30.6%
	Age 65 and Over	9,736	57.2%	1,569	51.3%	16.1%
<b>Community Well Total</b>		12,289	72.2%	2,351	76.9%	19.1%
<b>Total</b>		17,012	100.0%	3,055	100.0%	18.0%

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Blending of Nursing Home Eligible - Institutional and Nursing Home Eligible - Waiver**  
**Tidewater Base Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5a**

		Tidewater			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>CY 2016 Base Capitation Rates</b>					
<b>Nursing Home Eligible Institutional</b>	Age 21 - 64	\$5,087.59	\$5,087.59	\$5,087.59	\$5,087.59
	Age 65 and Over	\$4,934.45	\$4,934.45	\$4,934.45	\$4,934.45
	<b>Average</b>	\$4,966.92	\$4,963.94	\$4,962.56	\$4,965.03
<b>Nursing Home Eligible Waiver</b>	Age 21 - 64	\$2,612.05	\$2,612.05	\$2,612.05	\$2,612.05
	Age 65 and Over	\$2,419.01	\$2,419.01	\$2,419.01	\$2,419.01
	<b>Average</b>	\$2,495.79	\$2,485.76	\$2,479.74	\$2,489.81

		Tidewater January 2016 Enrollment Distribution			
<b>Nursing Home Eligible Institutional</b>	Age 21 - 64	11%	10%	11%	11%
	Age 65 and Over	41%	43%	51%	43%
	<b>Total</b>	52%	53%	62%	54%
<b>Nursing Home Eligible Waiver</b>	Age 21 - 64	19%	16%	12%	17%
	Age 65 and Over	29%	31%	26%	29%
	<b>Total</b>	48%	47%	38%	46%

		Blended CY 2016 Base Capitation Rates			
<b>Nursing Home Eligible</b>	Age 21 - 64	\$3,526.44	\$3,566.63	\$3,827.31	\$3,578.81
	Age 65 and Over	\$3,902.39	\$3,882.16	\$4,087.01	\$3,923.02
	<b>Average</b>	\$3,789.41	\$3,798.66	\$4,026.50	\$3,827.86

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Historical Fee-For-Service Data  
 Summary of Tidewater Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5b**

		Tidewater			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,526.44	\$3,566.63	\$3,827.31	\$3,578.81
	Age 65 and Over	\$3,902.39	\$3,882.16	\$4,087.01	\$3,923.02
	<b>Average</b>	\$3,789.41	\$3,798.66	\$4,026.50	\$3,827.86
<b>Community Well</b>	Age 21-64	\$337.58	\$337.58	\$337.58	\$337.58
	Age 65 and Over	\$215.21	\$215.21	\$215.21	\$215.21
	<b>Average</b>	\$293.33	\$285.21	\$300.87	\$291.77
<b>Weighted Average</b>		\$1,008.11	\$1,072.32	\$964.14	\$1,023.98

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Second Year Savings Percentage Adjustment**

**Exhibit 5c**

	Adjustment Value		Source
Second Year Savings Percentage	1.0%	Provided by DMAS	

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Historical Fee-For-Service Data  
 Summary of Tidewater Base Capitation Rates Effective January 1, 2016 - June 30, 2016  
 With 1% Savings Percentage**

**Exhibit 5d**

MEG	Age Group	Tidewater			
		HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,491.17	\$3,530.97	\$3,789.04	\$3,543.02
	Age 65 and Over	\$3,863.37	\$3,843.33	\$4,046.14	\$3,883.79
	<b>Average</b>	\$3,751.52	\$3,760.68	\$3,986.24	\$3,789.58
<b>Community Well</b>	Age 21-64	\$334.20	\$334.20	\$334.20	\$334.20
	Age 65 and Over	\$213.06	\$213.06	\$213.06	\$213.06
	<b>Average</b>	\$290.39	\$282.36	\$297.86	\$288.85
<b>Weighted Average</b>		\$998.03	\$1,061.60	\$954.49	\$1,013.74

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Quality Withhold Percentage Adjustment**

**Exhibit 5e**

	Adjustment Value		Source
Quality Withhold	2.0%	Provided by DMAS	

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Historical Fee-For-Service Data  
 Summary of Tidewater Base Capitation Rates Effective January 1, 2016 - June 30, 2016  
 With 1% Savings Percentage & Net 2% Quality Withhold**

**Exhibit 5f**

MEG	Age Group	Tidewater			
		HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,421.35	\$3,460.35	\$3,713.26	\$3,472.16
	Age 65 and Over	\$3,786.10	\$3,766.47	\$3,965.21	\$3,806.11
	<b>Average</b>	\$3,676.49	\$3,685.46	\$3,906.51	\$3,713.79
<b>Community Well</b>	Age 21-64	\$327.52	\$327.52	\$327.52	\$327.52
	Age 65 and Over	\$208.80	\$208.80	\$208.80	\$208.80
	<b>Average</b>	\$284.59	\$276.71	\$291.90	\$283.08
<b>Weighted Average</b>		\$978.07	\$1,040.36	\$935.40	\$993.47

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Member Months of Enrollees  
 January 2016 Member Month Distribution**

**Exhibit 5g**

		Tidewater			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Total
Nursing Home Eligible	Age 21 - 64	6.1%	5.9%	4.1%	5.7%
	Age 65 and Over	14.3%	16.5%	13.7%	15.0%
<b>Nursing Home Eligible Total</b>		20.4%	22.4%	17.8%	20.7%
Community Well	Age 21 - 64	50.8%	44.4%	57.5%	49.6%
	Age 65 and Over	28.8%	33.2%	24.7%	29.7%
<b>Community Well Total</b>		79.6%	77.6%	82.2%	79.3%
<b>Total</b>		100.0%	100.0%	100.0%	100.0%

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
County Listing by Region**

**Exhibit 6**

Central Virginia	Northern Virginia	Southwest/ Roanoke	Tidewater	Western/ Charlottesville	
Amelia County	Lunenburg County	Alexandria City	Alleghany County	Chesapeake City	Albemarle County
Brunswick County	Mecklenburg County	Arlington County	Bath County	Gloucester County	Augusta County
Caroline County	Middlesex County	Culpeper County	Bedford City	Hampton City	Buckingham County
Charles City County	New Kent County	Fairfax City	Bedford County	Isle of Wight County	Charlottesville City
Chesterfield County	Northumberland County	Fairfax County	Botetourt County	James City County	Fluvanna County
Colonial Heights City	Nottoway County	Falls Church City	Buena Vista City	Mathews County	Greene County
Cumberland County	Petersburg City	Fauquier County	Covington City	Newport News City	Harrisonburg City
Dinwiddie County	Powhatan County	Loudoun County	Craig County	Norfolk City	Louisa County
Emporia City	Prince Edward County	Manassas City	Floyd County	Northampton County	Madison County
Essex County	Prince George County	Manassas Park City	Franklin County	Poquoson City	Nelson County
Franklin City	Richmond City	Prince William County	Giles County	Portsmouth City	Orange County
Fredericksburg City	Richmond County		Henry County	Suffolk City	Rockingham County
Goochland County	Southampton County		Highland County	Virginia Beach City	Staunton City
Greensville County	Spotsylvania County		Lexington City	Williamsburg City	Waynesboro City
Hanover County	Stafford County		Martinsville City	York County	
Henrico County	Surry County		Montgomery County		
Hopewell City	Sussex County		Patrick County		
King George County	Westmoreland County		Pulaski County		
King William County			Radford City		
King and Queen County			Roanoke City		
Lancaster County			Roanoke County		
			Rockbridge County		
			Salem City		
			Wythe County		

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Historical Fee-For-Service Data  
 Blending of Nursing Home Eligible - Institutional and Nursing Home Eligible - Waiver  
 Central Virginia Base Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5a**

		Central Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>CY 2016 Base Capitation Rates</b>					
<b>Nursing Home Eligible</b>	Age 21 - 64	\$5,050.82	\$5,050.82	\$5,050.82	\$5,050.82
<b>Institutional</b>	Age 65 and Over	\$5,117.34	\$5,117.34	\$5,117.34	\$5,117.34
	<b>Average</b>	\$5,107.51	\$5,106.51	\$5,106.16	\$5,106.83
<b>Nursing Home Eligible</b>	Age 21 - 64	\$2,627.25	\$2,627.25	\$2,627.25	\$2,627.25
<b>Waiver</b>	Age 65 and Over	\$2,456.17	\$2,456.17	\$2,456.17	\$2,456.17
	<b>Average</b>	\$2,509.04	\$2,501.22	\$2,508.77	\$2,506.26

		Central Virginia January 2016 Enrollment Distribution			
<b>Nursing Home Eligible</b>	Age 21 - 64	8%	8%	6%	8%
<b>Institutional</b>	Age 65 and Over	45%	43%	32%	41%
	<b>Total</b>	53%	51%	39%	48%
<b>Nursing Home Eligible</b>	Age 21 - 64	14%	13%	19%	15%
<b>Waiver</b>	Age 65 and Over	32%	36%	43%	36%
	<b>Total</b>	47%	49%	61%	52%

		Blended CY 2016 Base Capitation Rates			
<b>Nursing Home Eligible</b>	Age 21 - 64	\$3,484.36	\$3,574.59	\$3,246.56	\$3,442.81
	Age 65 and Over	\$4,013.29	\$3,895.90	\$3,600.41	\$3,861.60
	<b>Average</b>	\$3,895.31	\$3,827.72	\$3,510.64	\$3,766.35

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Summary of Central Virginia Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5b**

MEG	Age Group	Central Virginia			
		HealthKeepers	Humana	Virginia Premier	Regional Average
Nursing Home Eligible	Age 21-64	\$3,484.36	\$3,574.59	\$3,246.56	\$3,442.81
	Age 65 and Over	\$4,013.29	\$3,895.90	\$3,600.41	\$3,861.60
	<b>Average</b>	\$3,895.31	\$3,827.72	\$3,510.64	\$3,766.35
Community Well	Age 21-64	\$403.77	\$403.77	\$403.77	\$403.77
	Age 65 and Over	\$232.27	\$232.27	\$232.27	\$232.27
	<b>Average</b>	\$341.59	\$329.55	\$335.00	\$335.78
<b>Weighted Average</b>		\$1,126.24	\$1,205.69	\$1,033.89	\$1,126.45

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Second Year Savings Percentage Adjustment**

**Exhibit 5c**

	Adjustment Value		Source
Second Year Savings Percentage	1.0%	Provided by DMAS	

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Summary of Central Virginia Base Capitation Rates Effective January 1, 2016 - June 30, 2016**  
**With 1% Savings Percentage**

**Exhibit 5d**

		Central Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,449.52	\$3,538.84	\$3,214.10	\$3,408.38
	Age 65 and Over	\$3,973.16	\$3,856.94	\$3,564.41	\$3,822.99
	<b>Average</b>	\$3,856.36	\$3,789.45	\$3,475.53	\$3,728.69
<b>Community Well</b>	Age 21-64	\$399.73	\$399.73	\$399.73	\$399.73
	Age 65 and Over	\$229.95	\$229.95	\$229.95	\$229.95
	<b>Average</b>	\$338.18	\$326.26	\$331.65	\$332.42
<b>Weighted Average</b>		\$1,114.98	\$1,193.63	\$1,023.55	\$1,115.19

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Quality Withhold Percentage Adjustment**

**Exhibit 5e**

	Adjustment Value		Source
Quality Withhold	2.0%	Provided by DMAS	

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Summary of Central Virginia Base Capitation Rates Effective January 1, 2016 - June 30, 2016**  
**With 1% Savings Percentage & Net 2% Quality Withhold**

**Exhibit 5f**

		Central Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,380.53	\$3,468.07	\$3,149.81	\$3,340.21
	Age 65 and Over	\$3,893.70	\$3,779.80	\$3,493.12	\$3,746.53
	<b>Average</b>	\$3,779.23	\$3,713.66	\$3,406.02	\$3,654.12
<b>Community Well</b>	Age 21-64	\$391.74	\$391.74	\$391.74	\$391.74
	Age 65 and Over	\$225.35	\$225.35	\$225.35	\$225.35
	<b>Average</b>	\$331.41	\$319.73	\$325.02	\$325.78
<b>Weighted Average</b>		\$1,092.68	\$1,169.76	\$1,003.08	\$1,092.89

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Member Months of Enrollees  
 January 2016 Member Month Distribution**

**Exhibit 5g**

		Central Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Total
Nursing Home Eligible	Age 21 - 64	4.9%	5.3%	5.6%	5.2%
	Age 65 and Over	17.2%	19.7%	16.4%	17.8%
<b>Nursing Home Eligible Total</b>		<b>22.1%</b>	<b>25.0%</b>	<b>22.0%</b>	<b>23.0%</b>
Community Well	Age 21 - 64	49.7%	42.5%	46.7%	46.4%
	Age 65 and Over	28.3%	32.4%	31.3%	30.5%
<b>Community Well Total</b>		<b>77.9%</b>	<b>75.0%</b>	<b>78.0%</b>	<b>77.0%</b>
<b>Total</b>		<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
County Listing by Region**

**Exhibit 6**

Central Virginia	Northern Virginia	Southwest/ Roanoke	Tidewater	Western/ Charlottesville	
Amelia County	Lunenburg County	Alexandria City	Alleghany County	Chesapeake City	Albemarle County
Brunswick County	Mecklenburg County	Arlington County	Bath County	Gloucester County	Augusta County
Caroline County	Middlesex County	Culpeper County	Bedford City	Hampton City	Buckingham County
Charles City County	New Kent County	Fairfax City	Bedford County	Isle of Wight County	Charlottesville City
Chesterfield County	Northumberland County	Fairfax County	Botetourt County	James City County	Fluvanna County
Colonial Heights City	Nottoway County	Falls Church City	Buena Vista City	Mathews County	Greene County
Cumberland County	Petersburg City	Fauquier County	Covington City	Newport News City	Harrisonburg City
Dinwiddie County	Powhatan County	Loudoun County	Craig County	Norfolk City	Louisa County
Emporia City	Prince Edward County	Manassas City	Floyd County	Northampton County	Madison County
Essex County	Prince George County	Manassas Park City	Franklin County	Poquoson City	Nelson County
Franklin City	Richmond City	Prince William County	Giles County	Portsmouth City	Orange County
Fredericksburg City	Richmond County		Henry County	Suffolk City	Rockingham County
Goochland County	Southampton County		Highland County	Virginia Beach City	Staunton City
Greensville County	Spotsylvania County		Lexington City	Williamsburg City	Waynesboro City
Hanover County	Stafford County		Martinsville City	York County	
Henrico County	Surry County		Montgomery County		
Hopewell City	Sussex County		Patrick County		
King George County	Westmoreland County		Pulaski County		
King William County			Radford City		
King and Queen County			Roanoke City		
Lancaster County			Roanoke County		
			Rockbridge County		
			Salem City		
			Wythe County		

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Blending of Nursing Home Eligible - Institutional and Nursing Home Eligible - Waiver**  
**Southwest/Roanoke Base Rates Effective January 1, 2016 - June 30, 2016**

Exhibit 5a

		Southwest/Roanoke			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>CY 2016 Base Capitation Rates</b>					
<b>Nursing Home Eligible Institutional</b>	Age 21 - 64	\$5,178.56	\$5,178.56	\$5,178.56	\$5,178.56
	Age 65 and Over	\$4,928.38	\$4,928.38	\$4,928.38	\$4,928.38
	<b>Average</b>	\$4,983.46	\$4,977.76	\$4,973.30	\$4,978.98
<b>Nursing Home Eligible Waiver</b>	Age 21 - 64	\$2,489.91	\$2,489.91	\$2,489.91	\$2,489.91
	Age 65 and Over	\$2,272.07	\$2,272.07	\$2,272.07	\$2,272.07
	<b>Average</b>	\$2,382.42	\$2,354.47	\$2,355.05	\$2,364.71

		Southwest/Roanoke January 2016 Enrollment Distribution			
<b>Nursing Home Eligible Institutional</b>	Age 21 - 64	13%	13%	9%	12%
	Age 65 and Over	46%	52%	41%	48%
	<b>Total</b>	59%	65%	50%	60%
<b>Nursing Home Eligible Waiver</b>	Age 21 - 64	21%	13%	19%	17%
	Age 65 and Over	20%	22%	31%	23%
	<b>Total</b>	41%	35%	50%	40%

		Blended CY 2016 Base Capitation Rates			
<b>Nursing Home Eligible</b>	Age 21 - 64	\$3,522.35	\$3,823.30	\$3,355.74	\$3,610.91
	Age 65 and Over	\$4,115.22	\$4,154.84	\$3,791.01	\$4,067.88
	<b>Average</b>	\$3,914.93	\$4,068.62	\$3,669.19	\$3,934.74

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Historical Fee-For-Service Data**

**Exhibit 5b**

**Summary of Southwest/Roanoke Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

		Southwest/Roanoke			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,522.35	\$3,823.30	\$3,355.74	\$3,610.91
	Age 65 and Over	\$4,115.22	\$4,154.84	\$3,791.01	\$4,067.88
	<b>Average</b>	\$3,914.93	\$4,068.62	\$3,669.19	\$3,934.74
<b>Community Well</b>	Age 21-64	\$418.09	\$418.09	\$418.09	\$418.09
	Age 65 and Over	\$285.27	\$285.27	\$285.27	\$285.27
	<b>Average</b>	\$376.24	\$372.65	\$376.84	\$375.01
<b>Weighted Average</b>		\$1,145.07	\$1,299.95	\$993.23	\$1,170.73

Note:  
Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Second Year Savings Percentage Adjustment**

**Exhibit 5c**

	Adjustment Value		Source
Second Year Savings Percentage	1.0%	Provided by DMAS	

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development**

**Exhibit 5d**

**Historical Fee-For-Service Data**

**Summary of Southwest/Roanoke Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**With 1% Savings Percentage**

		Southwest/Roanoke			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,487.12	\$3,785.07	\$3,322.19	\$3,574.80
	Age 65 and Over	\$4,074.07	\$4,113.30	\$3,753.10	\$4,027.20
	<b>Average</b>	\$3,875.78	\$4,027.93	\$3,632.49	\$3,895.40
<b>Community Well</b>	Age 21-64	\$413.91	\$413.91	\$413.91	\$413.91
	Age 65 and Over	\$282.42	\$282.42	\$282.42	\$282.42
	<b>Average</b>	\$372.48	\$368.92	\$373.08	\$371.26
<b>Weighted Average</b>		\$1,133.62	\$1,286.95	\$983.29	\$1,159.02

Note:

Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Quality Withhold Percentage Adjustment**

**Exhibit 5e**

	Adjustment Value		Source
Quality Withhold	2.0%	Provided by DMAS	

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development**

**Exhibit 5f**

**Historical Fee-For-Service Data**

**Summary of Southwest/Roanoke Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**With 1% Savings Percentage & Net 2% Quality Withhold**

MEG	Age Group	Southwest/Roanoke			
		HealthKeepers	Humana	Virginia Premier	Regional Average
Nursing Home Eligible	Age 21-64	\$3,417.38	\$3,709.37	\$3,255.74	\$3,503.30
	Age 65 and Over	\$3,992.59	\$4,031.03	\$3,678.03	\$3,946.66
	<b>Average</b>	\$3,798.26	\$3,947.37	\$3,559.84	\$3,817.49
Community Well	Age 21-64	\$405.63	\$405.63	\$405.63	\$405.63
	Age 65 and Over	\$276.77	\$276.77	\$276.77	\$276.77
	<b>Average</b>	\$365.03	\$361.55	\$365.61	\$363.83
<b>Weighted Average</b>		\$1,110.94	\$1,261.21	\$963.63	\$1,135.84

Note:

Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Member Months of Enrollees  
 January 2016 Member Month Distribution**

**Exhibit 5g**

		Southwest/Roanoke			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Total
Nursing Home Eligible	Age 21 - 64	7.3%	6.5%	5.2%	6.5%
	Age 65 and Over	14.4%	18.6%	13.5%	15.8%
<b>Nursing Home Eligible Total</b>		<b>21.7%</b>	<b>25.1%</b>	<b>18.7%</b>	<b>22.4%</b>
Community Well	Age 21 - 64	53.6%	49.3%	56.0%	52.5%
	Age 65 and Over	24.7%	25.6%	25.2%	25.2%
<b>Community Well Total</b>		<b>78.3%</b>	<b>74.9%</b>	<b>81.3%</b>	<b>77.6%</b>
<b>Total</b>		<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
County Listing by Region**

**Exhibit 6**

Central Virginia	Northern Virginia	Southwest/ Roanoke	Tidewater	Western/ Charlottesville	
Amelia County	Lunenburg County	Alexandria City	Alleghany County	Chesapeake City	Albemarle County
Brunswick County	Mecklenburg County	Arlington County	Bath County	Gloucester County	Augusta County
Caroline County	Middlesex County	Culpeper County	Bedford City	Hampton City	Buckingham County
Charles City County	New Kent County	Fairfax City	Bedford County	Isle of Wight County	Charlottesville City
Chesterfield County	Northumberland County	Fairfax County	Botetourt County	James City County	Fluvanna County
Colonial Heights City	Nottoway County	Falls Church City	Buena Vista City	Mathews County	Greene County
Cumberland County	Petersburg City	Fauquier County	Covington City	Newport News City	Harrisonburg City
Dinwiddie County	Powhatan County	Loudoun County	Craig County	Norfolk City	Louisa County
Emporia City	Prince Edward County	Manassas City	Floyd County	Northampton County	Madison County
Essex County	Prince George County	Manassas Park City	Franklin County	Poquoson City	Nelson County
Franklin City	Richmond City	Prince William County	Giles County	Portsmouth City	Orange County
Fredericksburg City	Richmond County		Henry County	Suffolk City	Rockingham County
Goochland County	Southampton County		Highland County	Virginia Beach City	Staunton City
Greensville County	Spotsylvania County		Lexington City	Williamsburg City	Waynesboro City
Hanover County	Stafford County		Martinsville City	York County	
Henrico County	Surry County		Montgomery County		
Hopewell City	Sussex County		Patrick County		
King George County	Westmoreland County		Pulaski County		
King William County			Radford City		
King and Queen County			Roanoke City		
Lancaster County			Roanoke County		
			Rockbridge County		
			Salem City		
			Wythe County		

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Historical Fee-For-Service Data  
 Blending of Nursing Home Eligible - Institutional and Nursing Home Eligible - Waiver  
 Western/Charlottesville Base Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5a**

		Western/Charlottesville			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>CY 2016 Base Capitation Rates</b>					
<b>Nursing Home Eligible</b>	Age 21 - 64	\$4,594.88	\$4,594.88	\$4,594.88	\$4,594.88
<b>Institutional</b>	Age 65 and Over	\$4,959.16	\$4,959.16	\$4,959.16	\$4,959.16
	<b>Average</b>	\$4,902.68	\$4,901.77	\$4,899.76	\$4,901.59
<b>Nursing Home Eligible</b>	Age 21 - 64	\$2,571.45	\$2,571.45	\$2,571.45	\$2,571.45
<b>Waiver</b>	Age 65 and Over	\$2,202.09	\$2,202.09	\$2,202.09	\$2,202.09
	<b>Average</b>	\$2,348.67	\$2,282.39	\$2,353.20	\$2,335.31

		Western/Charlottesville January 2016 Enrollment Distribution			
<b>Nursing Home Eligible</b>	Age 21 - 64	8%	11%	7%	9%
<b>Institutional</b>	Age 65 and Over	43%	57%	38%	46%
	<b>Total</b>	51%	68%	46%	55%
<b>Nursing Home Eligible</b>	Age 21 - 64	20%	7%	22%	16%
<b>Waiver</b>	Age 65 and Over	30%	25%	32%	29%
	<b>Total</b>	49%	32%	54%	45%

		Blended CY 2016 Base Capitation Rates			
<b>Nursing Home Eligible</b>	Age 21 - 64	\$3,149.58	\$3,796.16	\$3,077.31	\$3,270.02
	Age 65 and Over	\$3,826.52	\$4,118.02	\$3,697.12	\$3,892.44
	<b>Average</b>	\$3,640.70	\$4,061.13	\$3,513.02	\$3,736.83

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Historical Fee-For-Service Data**

**Exhibit 5b**

**Summary of Western/Charlottesville Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

		Western/Charlottesville			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,149.58	\$3,796.16	\$3,077.31	\$3,270.02
	Age 65 and Over	\$3,826.52	\$4,118.02	\$3,697.12	\$3,892.44
	<b>Average</b>	\$3,640.70	\$4,061.13	\$3,513.02	\$3,736.83
<b>Community Well</b>	Age 21-64	\$262.27	\$262.27	\$262.27	\$262.27
	Age 65 and Over	\$230.06	\$230.06	\$230.06	\$230.06
	<b>Average</b>	\$249.94	\$246.99	\$249.30	\$248.99
<b>Weighted Average</b>		\$1,009.73	\$1,411.82	\$1,257.36	\$1,188.02

Note:  
Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Second Year Savings Percentage Adjustment**

**Exhibit 5c**

	Adjustment Value		Source
Second Year Savings Percentage	1.0%	Provided by DMAS	

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development**

**Exhibit 5d**

**Historical Fee-For-Service Data**

**Summary of Western/Charlottesville Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**With 1% Savings Percentage**

		Western/Charlottesville			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,118.08	\$3,758.20	\$3,046.54	\$3,237.32
	Age 65 and Over	\$3,788.26	\$4,076.84	\$3,660.15	\$3,853.51
	<b>Average</b>	\$3,604.29	\$4,020.52	\$3,477.89	\$3,699.46
<b>Community Well</b>	Age 21-64	\$259.64	\$259.64	\$259.64	\$259.64
	Age 65 and Over	\$227.76	\$227.76	\$227.76	\$227.76
	<b>Average</b>	\$247.44	\$244.52	\$246.80	\$246.50
<b>Weighted Average</b>		\$999.63	\$1,397.70	\$1,244.78	\$1,176.14

Note:

Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Quality Withhold Percentage Adjustment**

**Exhibit 5e**

	Adjustment Value		Source
Quality Withhold	2.0%	Provided by DMAS	

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development**

**Exhibit 5f**

**Historical Fee-For-Service Data**

**Summary of Western/Charlottesville Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**With 1% Savings Percentage & Net 2% Quality Withhold**

		Western/Charlottesville			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$3,055.72	\$3,683.04	\$2,985.61	\$3,172.57
	Age 65 and Over	\$3,712.49	\$3,995.30	\$3,586.95	\$3,776.44
	<b>Average</b>	\$3,532.20	\$3,940.11	\$3,408.33	\$3,625.47
<b>Community Well</b>	Age 21-64	\$254.45	\$254.45	\$254.45	\$254.45
	Age 65 and Over	\$223.20	\$223.20	\$223.20	\$223.20
	<b>Average</b>	\$242.49	\$239.63	\$241.87	\$241.57
<b>Weighted Average</b>		\$979.64	\$1,369.75	\$1,219.89	\$1,152.62

Note:

Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Member Months of Enrollees  
 January 2016 Member Month Distribution**

**Exhibit 5g**

		Western/Charlottesville			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Total
Nursing Home Eligible	Age 21 - 64	6.2%	5.4%	9.2%	6.7%
	Age 65 and Over	16.3%	25.1%	21.7%	20.2%
<b>Nursing Home Eligible Total</b>		<b>22.4%</b>	<b>30.5%</b>	<b>30.9%</b>	<b>26.9%</b>
Community Well	Age 21 - 64	47.9%	36.5%	41.3%	42.9%
	Age 65 and Over	29.7%	33.0%	27.8%	30.1%
<b>Community Well Total</b>		<b>77.6%</b>	<b>69.5%</b>	<b>69.1%</b>	<b>73.1%</b>
<b>Total</b>		<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
County Listing by Region**

**Exhibit 6**

Central Virginia	Northern Virginia	Southwest/ Roanoke	Tidewater	Western/ Charlottesville	
Amelia County	Lunenburg County	Alexandria City	Alleghany County	Chesapeake City	Albemarle County
Brunswick County	Mecklenburg County	Arlington County	Bath County	Gloucester County	Augusta County
Caroline County	Middlesex County	Culpeper County	Bedford City	Hampton City	Buckingham County
Charles City County	New Kent County	Fairfax City	Bedford County	Isle of Wight County	Charlottesville City
Chesterfield County	Northumberland County	Fairfax County	Botetourt County	James City County	Fluvanna County
Colonial Heights City	Nottoway County	Falls Church City	Buena Vista City	Mathews County	Greene County
Cumberland County	Petersburg City	Fauquier County	Covington City	Newport News City	Harrisonburg City
Dinwiddie County	Powhatan County	Loudoun County	Craig County	Norfolk City	Louisa County
Emporia City	Prince Edward County	Manassas City	Floyd County	Northampton County	Madison County
Essex County	Prince George County	Manassas Park City	Franklin County	Poquoson City	Nelson County
Franklin City	Richmond City	Prince William County	Giles County	Portsmouth City	Orange County
Fredericksburg City	Richmond County		Henry County	Suffolk City	Rockingham County
Goochland County	Southampton County		Highland County	Virginia Beach City	Staunton City
Greensville County	Spotsylvania County		Lexington City	Williamsburg City	Waynesboro City
Hanover County	Stafford County		Martinsville City	York County	
Henrico County	Surry County		Montgomery County		
Hopewell City	Sussex County		Patrick County		
King George County	Westmoreland County		Pulaski County		
King William County			Radford City		
King and Queen County			Roanoke City		
Lancaster County			Roanoke County		
			Rockbridge County		
			Salem City		
			Wythe County		

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Historical Fee-For-Service Data  
 Blending of Nursing Home Eligible - Institutional and Nursing Home Eligible - Waiver  
 Northern Virginia Base Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5a**

		Northern Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>CY 2016 Base Capitation Rates</b>					
<b>Nursing Home Eligible</b>	Age 21 - 64	\$6,276.29	\$6,276.29	\$6,276.29	\$6,276.29
<b>Institutional</b>	Age 65 and Over	\$6,152.11	\$6,152.11	\$6,152.11	\$6,152.11
	<b>Average</b>	\$6,176.07	\$6,168.78	\$6,174.69	\$6,172.74
<b>Nursing Home Eligible</b>	Age 21 - 64	\$3,379.44	\$3,379.44	\$3,379.44	\$3,379.44
<b>Waiver</b>	Age 65 and Over	\$3,395.46	\$3,395.46	\$3,395.46	\$3,395.46
	<b>Average</b>	\$3,390.72	\$3,390.90	\$3,395.46	\$3,390.87

		Northern Virginia January 2016 Enrollment Distribution			
<b>Nursing Home Eligible</b>	Age 21 - 64	10%	7%	13%	9%
<b>Institutional</b>	Age 65 and Over	43%	47%	56%	45%
	<b>Total</b>	53%	54%	69%	54%
<b>Nursing Home Eligible</b>	Age 21 - 64	14%	13%	0%	13%
<b>Waiver</b>	Age 65 and Over	33%	33%	31%	33%
	<b>Total</b>	47%	46%	31%	46%

		Blended CY 2016 Base Capitation Rates			
<b>Nursing Home Eligible</b>	Age 21 - 64	\$4,602.55	\$4,420.49	\$6,276.29	\$4,549.32
	Age 65 and Over	\$4,943.97	\$5,022.35	\$5,167.59	\$4,985.61
	<b>Average</b>	\$4,861.58	\$4,900.19	\$5,306.18	\$4,888.97

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Summary of Northern Virginia Base Capitation Rates Effective January 1, 2016 - June 30, 2016**

**Exhibit 5b**

		Northern Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$4,602.55	\$4,420.49	\$6,276.29	\$4,549.32
	Age 65 and Over	\$4,943.97	\$5,022.35	\$5,167.59	\$4,985.61
	<b>Average</b>	\$4,861.58	\$4,900.19	\$5,306.18	\$4,888.97
<b>Community Well</b>	Age 21-64	\$331.51	\$331.51	\$331.51	\$331.51
	Age 65 and Over	\$148.72	\$148.72	\$148.72	\$148.72
	<b>Average</b>	\$209.95	\$208.60	\$223.99	\$209.53
<b>Weighted Average</b>		\$1,292.19	\$1,263.78	\$1,850.29	\$1,288.29

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Second Year Savings Percentage Adjustment**

**Exhibit 5c**

	Adjustment Value		Source
Second Year Savings Percentage	1.0%	Provided by DMAS	

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Summary of Northern Virginia Base Capitation Rates Effective January 1, 2016 - June 30, 2016**  
**With 1% Savings Percentage**

**Exhibit 5d**

		Northern Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$4,556.52	\$4,376.29	\$6,213.52	\$4,503.82
	Age 65 and Over	\$4,894.53	\$4,972.12	\$5,115.91	\$4,935.75
	<b>Average</b>	\$4,812.96	\$4,851.19	\$5,253.12	\$4,840.08
<b>Community Well</b>	Age 21-64	\$328.19	\$328.19	\$328.19	\$328.19
	Age 65 and Over	\$147.24	\$147.24	\$147.24	\$147.24
	<b>Average</b>	\$207.85	\$206.52	\$221.75	\$207.43
<b>Weighted Average</b>		\$1,279.26	\$1,251.15	\$1,831.79	\$1,275.40

Note:  
Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
Dual Demonstration Program Quality Withhold Percentage Adjustment**

**Exhibit 5e**

	Adjustment Value		Source
Quality Withhold	2.0%	Provided by DMAS	

**Virginia Medicaid**  
**CY 2016 Dual Demonstration Capitation Rate Development**  
**Historical Fee-For-Service Data**  
**Summary of Northern Virginia Base Capitation Rates Effective January 1, 2016 - June 30, 2016**  
**With 1% Savings Percentage & Net 2% Quality Withhold**

**Exhibit 5f**

		Northern Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Average
<b>Nursing Home Eligible</b>	Age 21-64	\$4,465.39	\$4,288.76	\$6,089.25	\$4,413.75
	Age 65 and Over	\$4,796.64	\$4,872.68	\$5,013.60	\$4,837.04
	<b>Average</b>	\$4,716.70	\$4,754.16	\$5,148.05	\$4,743.27
<b>Community Well</b>	Age 21-64	\$321.63	\$321.63	\$321.63	\$321.63
	Age 65 and Over	\$144.29	\$144.29	\$144.29	\$144.29
	<b>Average</b>	\$203.69	\$202.39	\$217.31	\$203.29
<b>Weighted Average</b>		\$1,253.68	\$1,226.12	\$1,795.15	\$1,249.90

Note:  
 Weighted Averages are based on January 2016 Enrollment Distribution

**Virginia Medicaid  
 CY 2016 Dual Demonstration Capitation Rate Development  
 Member Months of Enrollees  
 January 2016 Member Month Distribution**

**Exhibit 5g**

		Northern Virginia			
MEG	Age Group	HealthKeepers	Humana	Virginia Premier	Regional Total
Nursing Home Eligible	Age 21 - 64	5.6%	4.6%	4.0%	5.1%
	Age 65 and Over	17.7%	17.9%	28.0%	17.9%
<b>Nursing Home Eligible Total</b>		<b>23.3%</b>	<b>22.5%</b>	<b>32.0%</b>	<b>23.1%</b>
Community Well	Age 21 - 64	25.7%	25.4%	28.0%	25.6%
	Age 65 and Over	51.0%	52.1%	40.0%	51.3%
<b>Community Well Total</b>		<b>76.7%</b>	<b>77.5%</b>	<b>68.0%</b>	<b>76.9%</b>
<b>Total</b>		<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>

**Virginia Medicaid  
CY 2016 Dual Demonstration Capitation Rate Development  
County Listing by Region**

**Exhibit 6**

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Charles City County	New Kent County	Fairfax City	Bedford County	Isle of Wight County	Charlottesville City
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Dinwiddie County	Powhatan County	Loudoun County	Craig County	Norfolk City	Louisa County
Emporia City	Prince Edward County	Manassas City	Floyd County	Northampton County	Madison County
Essex County	Prince George County	Manassas Park City	Franklin County	Poquoson City	Nelson County
Franklin City	Richmond City	Prince William County	Giles County	Portsmouth City	Orange County
Fredericksburg City	Richmond County		Henry County	Suffolk City	Rockingham County
Goochland County	Southampton County		Highland County	Virginia Beach City	Staunton City
Greensville County	Spotsylvania County		Lexington City	Williamsburg City	Waynesboro City
Hanover County	Stafford County		Martinsville City	York County	
Henrico County	Surry County		Montgomery County		
Hopewell City	Sussex County		Patrick County		
King George County	Westmoreland County		Pulaski County		
King William County			Radford City		
King and Queen County			Roanoke City		
Lancaster County			Roanoke County		
			Rockbridge County		
			Salem City		
			Wythe County		