

# ADHC HCBS Setting Assessment Validation Tool

ADCC Provider Name:

Address:

City/Town:

State: Virginia

ZIP/Postal Code

Contact Person

Name:

Title:

Email:

Phone Number:

Provider ID Number:

C = Compliant; NC = Non-Compliant; PC = Partially Compliant

**HCBS Compliance Summary:**

The setting integrated in and supportive of full access to the greater community

Compliant  Non-Compliant  Partially Compliant

Ensure an individual's rights of privacy, dignity and respect, and freedom from coercion and restraint

Compliant  Non-Compliant  Partially Compliant

Optimize, but does not regiment, individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.

Compliant  Non-Compliant  Partially Compliant

Facilitate individual choice regarding services and supports, and who provides them.

Compliant  Non-Compliant  Partially Compliant

**Remediation Plan Needed:**

Yes  No

DMAS Desk Review Contact:

DESK REVIEW VALIDATION TOOL				FINDING		
The setting integrated in and supportive of full access to the greater community ( <i>Self-Assessment Questions 1 – 8, 12</i> )	C	NC	PC	Criteria Met: <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Rationale/ Comments:</b> <i>(Describe evidence contributing to C/NC/PC findings)</i>				<b>Comments (Needed Remediation):</b>		
1. The service setting is NOT located in a building that is also a publically or privately operated facility that provides inpatient institutional treatment (e.g. NF, IMD, ICF/IID, hospital)?						
2. The service setting is NOT located in a building on the grounds of, or immediately adjacent to a public institution?						

DESK REVIEW VALIDATION TOOL	FINDING			
3. The service setting is NOT in a gated/secure “community” for people with disabilities?				
4. The service setting is NOT located in a farmstead or disability-specific community?				
5. Do individuals have options for activities in the community and use community services/resources in lieu of onsite services (medical services, recreational activities, meals out, barber/haircut)?				
6. Does the ADHC setting have partnerships with other community organizations and volunteers?				
7. Do individuals have the opportunity to access the community as part of their service in the ADHC setting?				
8. Are individuals aware of or do they have access to materials and/or resources to become aware of activities occurring outside the setting?				
12. Does the setting engage with the broader community?				
<b>Ensure an individual's rights of privacy, dignity and respect, and freedom from coercion and restraint (Self-Assessment Questions 9, 10, 14)</b>	<b>C</b>	<b>NC</b>	<b>PC</b>	<b>Criteria Met:</b>

DESK REVIEW VALIDATION TOOL	FINDING			
<b>Rationale/ Comments:</b> <i>(Describe evidence contributing to C/NC/PC findings)</i>				<input type="checkbox"/> Yes <input type="checkbox"/> No
9. Do paid and unpaid staff receive training and continuing education related to the rights of individuals receiving services and member experience as outlined in HCBS rules?				<b>Comments(Needed Remediation):</b>
10. Are provider policies outlining rights of individuals receiving services and member experience made available to individuals receiving services?				
14. Does the setting ensure freedom from coercion and restraint?				
<b>Optimize, but does not regiment, individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.</b> <i>(Self-Assessment Questions 9, 11, 13)</i>  <b>Rationale/Comments:</b> <i>(Describe evidence contributing to C/NC/PC findings)</i>	C	NC	PC	<b>Criteria Met:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No  <b>Comments(Needed Remediation):</b>
9. Do paid and unpaid staff receive training and continuing education related to the rights of individuals receiving services and member experience as outlined in the HCBS rules?				
11. Are provider policies on member experience and HCBS rules regularly reassessed for compliance and effectiveness and amended, as necessary?				

DESK REVIEW VALIDATION TOOL		FINDING			
13. Do individuals receiving ADHC services, or a person of their choosing, have an active role in the development and update of their person-centered service plan?					
<b>Facilitate individual choice regarding services and supports, and who provides them. (Self-Assessment Question 15)</b>  <b>Rationale/ Comments:</b> <i>(Describe evidence contributing to C/NC/PC findings)</i>		C	NC	PC	<b>Criteria Met:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No  <b>Comments(Needed Remediation):</b>
15. How does the person centered service planning process ensure individuals' choices and preferences are honored and respected?					

NOTES: Question 16: Please describe your setting's approach to completing the self-assessment process.

Desk Review Completed By: \_\_\_\_\_

Date: \_\_\_\_\_

Secondary Review Completed By: \_\_\_\_\_

Date: \_\_\_\_\_