

Program Management (PM)

PM: Perform A-133 Provider Audits

| Item | Details | |
|-----------------------|---|---|
| Description | The Perform A-133 Provider Audits business process describes the process by which the agency requests and receives audits required by Federal grant recipients. The process involves reviewing audits, and conducting follow up on findings and questionable costs. The OMB A-133 compliance supplement is an extensive guide for auditing Federal grants and their respective recipients. | |
| MITA Reference | None. | |
| Sample Data | Service data Provider/Contractor data Federal grant data | Payment data Provider/contractor accounting data |

PM: Perform A-133 Provider Audits: Maturity Levels

| Level 1 | Level 2 | Level 3 | Level 4 | Level 5 |
|---|--|---|---|--|
| <p>The process is primarily paper/phone/fax based, using nonstandard formats and data. The process is inconsistent in the application of the rules, reporting, and response timing. Programs are siloed and multiple reviews may be conducted by different programs.</p> <p>This Level complies with agency requirements.</p> | <p>The process is increasingly automated, incorporating Web interfaces with providers/contractors, basic business rules, and enhanced consistency of responses and timing. Formats and data are standardized within the state.</p> <p>This Level includes additional data and quality edits.</p> | <p>The process has virtual access to administrative records and self adjusting business rules. Data and formats are standardized nationally. Cross agency collaboration results in shared review processes. Interfaces use BHMITA standardized data and are compatible with Medicaid MITA.</p> <p>At this Level data is standardized.</p> | <p>This business process interfaces with other review and audit processes through HIEs statewide.</p> <p>This Level adds clinical data.</p> | <p>The process uses process collaboration and has full interoperability with other local, state, and federal programs with national virtual administrative data access and exchange.</p> <p>This Level adds nationwide technical interoperability.</p> |

Automation

| Level 1 | Level 2 | Level 3 | Level 4 | Level 5 |
|------------------|-------------------------------------|---|---|--|
| All/mostly paper | Internal processes mostly automated | Internal agency processes/interfaces mostly automated | External agency processes/interfaces mostly automated | Non-state entity processes/interfaces mostly automated |
| VA As Is: | | | | |
| VA To Be: | | | | |

Standards

| Level 1 | Level 2 | Level 3 | Level 4 | Level 5 |
|----------------------------------|---|--|--|---|
| Use few or no national standards | Internal processes use national standards | Internal agency processes/interfaces mostly use national standards | External agency processes/interfaces mostly use national standards | Non-state entity processes/interfaces mostly use national standards |
| VA As Is: | | | | |
| VA To Be: | | | | |

Cross Coordination

| Level 1 | Level 2 | Level 3 | Level 4 | Level 5 |
|---|---------------------------------------|---|---|--|
| Little/no coordination across processes or with | Internal agency operational processes | Internal agency program processes coordinated | External agency program and operational | Non-state entity program and operational |

| other programs | coordinated | | processes coordinated | processes coordinated |
|--|---|--|--|--|
| VA As Is: | | | | |
| VA To Be: | | | | |
| Client Data | | | | |
| Level 1 | Level 2 | Level 3 | Level 4 | Level 5 |
| Little/no access to client specific data | Internal processes have access to client specific data | Internal agency processes have access to client specific data | External agency processes have access to client specific data | Non-state entity processes have access to client specific data |
| VA As Is: | | | | |
| VA To Be: | | | | |
| Interoperability | | | | |
| Level 1 | Level 2 | Level 3 | Level 4 | Level 5 |
| None | Limited interoperability internally or limited to claims processing systems | Interoperability with internal agency systems other than claims processing | Interoperability with external agency systems other than claims processing | Interoperability with non-state entity systems |
| VA As Is: | | | | |
| VA To Be: | | | | |