

Program Management (PM)

PM: Formulate Budget	
Item	Details
Description	The Formulate Budget business process examines the current budget, revenue stream and trends, and expenditures, assesses external factors affecting the program, assesses agency initiatives and plans, models different budget scenarios, and periodically produces a new budget.
MITA Reference	Source Process Name: <i>Formulate Budget</i> Source Process Business Area: <i>Program Management</i> References: Part 1 Appendix C, Business Process Model Details Part 1 Appendix D, Business Process Capability Matrix Details http://www.cms.hhs.gov/MedicaidInfoTechArch/04_MITAFramework.asp
Sample Data	All Enterprise data Shared analytical data

PM: Formulate Budget: Maturity Levels

Level 1	Level 2	Level 3	Level 4	Level 5
Budget formulation occurs in an ad hoc manner but on a scheduled timeframe. Budgets are developed by reviewing past budgets and expenditures, determining desired service mix, and obtaining staff input through a labor intensive process of in person meetings and iterative documents. The process is informed by individual opinions and perspectives and financial data, but not by client and service data. This Level complies with agency requirements.	Budget formulation occurs on a regularly scheduled basis using a systematic and welldefined process. The process uses Web interfaces, basic business rules, and state standardized formats. The web and other technologies facilitate communications and process speed. The process is informed by a set of agency standardized data on clients and services. This Level includes additional data and quality edits.	The process uses networks or virtual connections to both internal and external data. Communications are standardized across agencies and coordination cross agency results in improved efficiencies for joint budget activities. The process is informed by nationally standardized, cross agency data accessible via interfaces that use BH-MITA standards compatible with Medicaid MITA. At this Level data is standardized against HL7 RIM.	Standardized client specific clinical data is accessible for planning via state HIEs, and data for budget activities is automatically communicated. All health care agencies collaborate in planning coordinated budgets and services between agencies and among all agency partners statewide. This Level adds clinical data.	Budget formulation protocols are integrated into HIEs on a national scale. Data pertinent to the budget process is analyzed and transmitted in real time, shortening development time. Nationwide collaborations streamline budget communications with agency stakeholders nationwide. This Level adds nationwide technical interoperability.

Automation

Level 1	Level 2	Level 3	Level 4	Level 5
All/mostly paper	Internal processes mostly automated	Internal agency processes/interfaces mostly automated	External agency processes/interfaces mostly automated	Non-state entity processes/interfaces mostly automated
VA As Is:				
VA To Be:				

Standards

Level 1	Level 2	Level 3	Level 4	Level 5
Use few or no national standards	Internal processes use national standards	Internal agency processes/interfaces mostly use national standards	External agency processes/interfaces mostly use national standards	Non-state entity processes/interfaces mostly use national standards
VA As Is:				
VA To Be:				

Cross Coordination				
Level 1	Level 2	Level 3	Level 4	Level 5
Little/no coordination across processes or with other programs	Internal agency operational processes coordinated	Internal agency program processes coordinated	External agency program and operational processes coordinated	Non-state entity program and operational processes coordinated
VA As Is:				
VA To Be:				
Client Data				
Level 1	Level 2	Level 3	Level 4	Level 5
Little/no access to client specific data	Internal processes have access to client specific data	Internal agency processes have access to client specific data	External agency processes have access to client specific data	Non-state entity processes have access to client specific data
VA As Is:				
VA To Be:				
Interoperability				
Level 1	Level 2	Level 3	Level 4	Level 5
None	Limited interoperability internally or limited to claims processing systems	Interoperability with internal agency systems other than claims processing	Interoperability with external agency systems other than claims processing	Interoperability with non-state entity systems
VA As Is:				
VA To Be:				