

COMMONWEALTH of VIRGINIA Office of the Governor

Daniel Carey, MD Secretary of Health and Human Resources

July 23, 2021

Francis McCullough, Associate Regional Administrator Centers for Medicare & Medicaid Services 801 Market Street, Suite 9400 Philadelphia, PA 19107-3134

Dear Mr. McCullough:

Attached for your review and approval is amendment 21-018, entitled "Office-Based Opioid Treatment Changed to Office-Based Addiction Treatment" to the Plan for Medical Assistance for the Commonwealth. I request that your office approve this change as quickly as possible.

Sincerely arer amel Daniel Carey, MD, MHCM

Attachment

cc: Karen Kimsey, Director, Department of Medical Assistance Services

Transmittal Summary

SPA 21-018

I. IDENTIFICATION INFORMATION

<u>Title of Amendment</u>: Office-Based Opioid Treatment Changed to Office-Based Addiction Treatment

II. SYNOPSIS

<u>Basis and Authority</u>: The <u>Code of Virginia</u> (1950) as amended, § 32.1-325, grants to the Board of Medical Assistance Services the authority to administer and amend the Plan for Medical Assistance. The <u>Code of Virginia</u> (1950) as amended, § 32.1-324, authorizes the Director of the Department of Medical Assistance Services (DMAS) to administer and amend the Plan for Medical Assistance according to the Board's requirements.

The 2021 Appropriations Act, Item 313.PPPPP states: "The Department of Medical Assistance Services shall seek federal authority through waiver and State Plan amendments under Titles XIX and XXI of the Social Security Act to expand the Preferred Office-Based Opioid Treatment (OBOT) model to include individuals with substance use disorders (SUD) that are covered in the Addiction and Recovery Treatment Services (ARTS) benefit."

<u>Purpose</u>: This SPA will allow DMAS to expand the substance use disorder service called "Preferred Office-Based Opioid Treatment" (which has been available only to individuals with a primary diagnosis of opioid use disorder) to individuals with a substance-related or addictive disorder.

<u>Substance and Analysis</u>: The sections of the State Plan for Medical Assistance that are affected by this action are "Amount, Duration, and Scope of Medical and Remedial Care Services" and "Methods and Standards for Establishing Payment Rates: Other Types of Care."

<u>Impact</u>: The expected increase in annual aggregate expenditures is \$881,307 in state general funds; \$1,255,580 in federal funds; and \$40,675 in special funds in federal fiscal year 2022.

Tribal Notice: Please see Attachments A-1 and A-2.

Prior Public Notice: Please see Attachment B-1.

Public Comments and Agency Analysis: Please see Attachment B-2.

ATTACHMENT A-1



Mcclellan, Emily <emily.mcclellan@dmas.virginia.gov>

Tribal Notice re: Broadening Office-Based Opioid Treatment

Mcclellan, Emily <emily.mcclellan@dmas.virginia.gov> Tue, Jun 22, 2021 at 4:00 PM To: TribalOffice@monacannation.com, "chiefannerich@aol.com" <chiefannerich@aol.com>, Gerald Stewart <wasandson@cox.net>, Pam Thompson <Pamelathompson4@yahoo.com>, rappahannocktrib@aol.com, regstew007@gmail.com, robert.gray@pamunkey.org, Rufus Elliott <tribaladmin@monacannation.com>, Samuel Bass <samflyingeagle48@yahoo.com>, Stephen Adkins <chiefstephenadkins@gmail.com>, Frank <WFrankAdams@verizon.net>, "bradbybrown@gmail.com"
bradbybrown@gmail.com" <tribalbybrown@gmail.com>, kara.Kearns@ihs.gov

Dear Tribal Leaders and Indian Health Programs:

Attached is a Tribal Notice letter from Virginia Medicaid Director Karen Kimsey indicating that the Dept. of Medical Assistance Services (DMAS) plans to submit a State Plan Amendment (SPA) to the federal Centers for Medicare and Medicaid Services. This SPA will allow DMAS to broaden the service called "Office-Based Opioid Treatment" and to change the name to "Office-Based Addiction Treatment."

If you would like a copy of the SPA documents or proposed text changes, or if you have any questions, please let us know.

Thank you! -- Emily McClellan

Emily McClellan Policy, Regulation, and Member Engagement Division Director Virginia Department of Medical Assistance Services 600 East Broad Street Richmond, VA 23219 (804) 371-4300 www.dmas.virginia.gov



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SERVICE • COLLABORATION • TRUST • ADAPTABILITY • PROBLEM-SOLVING

ATTACHMENT A-2



COMMONWEALTH of VIRGINIA

Department of Medical Assistance Services

KAREN KIMSEY DIRECTOR SUITE 1300 600 EAST BROAD STREET RICHMOND, VA 23219 804/786-7933 800/343-0634 (TDD) www.dmas.virginia.gov

June 22, 2021

SUBJECT: Notice of Opportunity for Tribal Comment – State Plan Amendment related to the expansion from Office-Based Opioid Treatment to Office-Based Addiction Treatment

Dear Tribal Leader and Indian Health Programs:

This letter is to notify you that the Department of Medical Assistance Services (DMAS) is planning to amend the Virginia State Plan for Medical Assistance with the Centers for Medicare and Medicaid Services (CMS). Specifically, DMAS is providing you notice about a State Plan Amendment (SPA) that the Agency will file with CMS in order to allow DMAS to expand the substance use disorder service called "Preferred Office-Based Opioid Treatment" (which has been available only to individuals with a primary diagnosis of opioid use disorder) to individuals with a substance-related or addictive disorder.

The tribal comment period for this SPA is open through July 22, 2021. You may submit your comments directly to Emily McClellan, DMAS Policy Division, by phone (804) 371-4300, or via email: <u>Emily.McClellan@dmas.virginia.gov</u> Finally, if you prefer regular mail you may send your comments or questions to:

Virginia Department of Medical Assistance Services Attn: Emily McClellan 600 East Broad Street Richmond, VA 23219

Please forward this information to any interested party.

Sincerely,

Karen Kimsey

ATTACHMENT B-1



Board Board of Medical Assistance Services

General Notice

Public Notice: Intent to Amend State Plan - Office-Based Opioid Treatment Changed to Office-Based Addiction Treatment

Date Posted: 6/22/2021

Expiration Date: 11/30/2021

Submitted to Registrar for publication: YES

30 Day Comment Forum is underway. Began on 6/22/2021 and will end on 7/22/2021

LEGAL NOTICE COMMONWEALTH OF VIRGINIA DEPARTMENT OF MEDICAL ASSISTANCE SERVICES

NOTICE OF INTENT TO AMEND

(Pursuant to §1902(a)(13) of the *Act (U.S.C. 1396a(a)(13)*) THE VIRGINIA STATE PLAN FOR MEDICAL ASSISTANCE

This Notice was posted on June 22, 2021

The Virginia Department of Medical Assistance Services (DMAS) hereby affords the public notice of its intention to amend the Virginia State Plan for Medical Assistance to provide for changes to the *Methods and Standards for Establishing Payment Rates — Other Types of Care (12 VAC 30-80).*

This notice is intended to satisfy the requirements of 42 C.F.R. § 447.205 and of § 1902(a)(13) of the *Social Security Act*, 42 U.S.C. § 1396a(a)(13). A copy of this notice is available for public review from Emily McClellan, DMAS, 600 Broad Street, Suite 1300, Richmond, VA 23219, or via e-mail at: Emily.McClellan@dmas.virginia.gov.

DMAS is specifically soliciting input from stakeholders, providers and beneficiaries, on the potential impact of the proposed changes discussed in this notice. Comments or inquiries may be submitted, in writing, within 30 days of this notice publication to Emily McClellan and such comments are available for review at the same address. Comments may also be submitted, in writing, on the Town Hall public comment forum attached to this notice.

This notice is available for public review on the Regulatory Town Hall (<u>www.townhall.com</u>), on the General Notices page, found at: <u>https://townhall.virginia.gov/L/generalnotice.cfm</u>

In accordance with the 2021 Appropriations Act, Items 313.PPPPP, DMAS will be making the following changes:

Methods & Standards for Establishing Payment Rates-Other Types of Care (12 VAC 30-80)

The state plan is being revised to expand the substance use disorder service called "Preferred Office-Based Opioid Treatment" (which has been available only to individuals with a primary diagnosis of opioid use disorder) to individuals with a substance-related or addictive disorder.

The expected increase in annual aggregate expenditures is \$881,307 in state general funds; \$1,255,580 in federal funds; and \$ 40,675 in special funds in federal fiscal year 2022.

Contact Information

Name / Title:	Emily McClellan / Regulatory Manager	
Address:	Division of Policy and Research 600 E. Broad St., Suite 1300 Richmond, 23219	
Email Address:	Emily.McClellan@dmas.virginia.gov	
Telephone:	(804)371-4300 FAX: (804)786-1680 TDD: (800)343-0634	

Virginia Regulatory Town Hall - Public Comment Forums

ATTACHMENT B-2



Public comment forums

Make your voice heard! Public comment forums allow all Virginia's citizens to participate in making and changing our state regulations.

See our public comment policy

Currently showing **1** comment forums closed within the last 1 days for the Department of Medical Assistance Services.

Regulatory Activity Forums (1)		<u>Guidance Document Forums</u> (0)			
Actions ()	Periodic Reviews () Pet	itions for Rulemaking () General Notices (1)			
Board of Medical Assistance Services					
<u>View</u> Comments	Public Notice: Intent to Amend State Plan - Office-Based Opioid Treatment Changed to Office-Based Addiction Treatment	General NoticePublic Notice: Intent to Amend State Plan - Office-Based Opioid Treatment Changed to Office-Based Addiction TreatmentClosed:7/22/21 0 comments			

Recently closed

Recently opened Active Forums

More filter options

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State of VIRGINIA

AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY and MEDICALLY NEEDY

2. Preferred Office-Based-Opioid Addiction Treatment (OBOT) (OBAT)

Service Definition: a service for individuals with a primary opioid use disorder diagnosis from the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) for substance-related and addictive disorders, with the exception of tobacco-related disorders and non-substance-related addictive disorders, marked by a cluster of cognitive, behavioral, and physiological symptoms indicating that the individual continues to use, is seeking treatment for the use of, or is in active recovery from the use of alcohol or other drugs despite significant related problems. Services are provided by buprenorphine-waivered practitioners working in collaboration with credentialed addiction treatment practitioners providing psychosocial counseling in public and private practice settings that encompasses pharmacological and nonpharmacological treatment modalities.

medication and dose levels appropriate to the individual; supervising withdrawal management from opioid analgesics; and overseeing and facilitating access to appropriate treatment for opioid use disorder and alcohol use disorder.IfProvide cognitive, behavioral psychotherapies, and other substance use disorder-focused counseling shall be provided to the individual on an individual, group, or family basis and shall be provided in collaboration with the buprenorphine-waivered practitioner.IfProvision of onsite screening the ability to refer for screening forIf	Buprenorphine-waivered practitioner licensed by the state; and Credentialed addiction treatment professional Credentialed Addiction Treatment Professional, CSAC, CSAC-supervisee.
alcohol use disorder.Image: Construction of the substance of the s	professional Credentialed Addiction Treatment Professional,
disorder-focused counseling shall be provided to the individual on an individual, group, or family basis and shall be provided in collaboration with the buprenorphine-waivered practitioner.To 	Treatment Professional,
treatment initiation and then at least annually or more often based on risk factors. Ability to provide or refer for treatment for infectious	Buprenorphine-waivered practitioner licensed by the state; credentialed addiction treatment professional, Pharmacist, Registered Nurse, or Practical Nurse licensed by the state.
individual to health. UDT is used in SUD treatment to determine	Physician, Pharmacist, Nurse Practitioner, Physician Assistant, Registered Nurse, or Practical Nurse licensed by the state

Approval Date

Revision: HFCA-PM-91-4 August, 1991

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of VIRGINIA

AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY and MEDICALLY NEEDY

Provide optional substance use care coordination that includes integrating behavioral health into primary care and specialty medical settings through interdisciplinary care planning and monitoring member progress and tracking member outcomes; linking members with community resources to facilitate referrals and respond to peer supports; and tracking and supporting members when they obtain medical or behavioral health outside the practice. Substance use care coordination cannot be provided simultaneously with substance use case management.	Care Coordination Provider
Provider optional peer recovery support services that includes non- medical peer-to-peer activities that engage and support an individual's, and as applicable the caregiver's, self-help efforts to improve health recovery, resiliency, and wellness.	Peer Recovery Support Specialist

Limits on amount, duration, and scope:

OBOTS OBATS may receive three separate inductions for medications for opioid use disorder per 365 calendar days per member and must be at least 90calendar days apart. Additional physician/nurse practitioner/physician assistant follow up and maintenance visits may be provided within a 365 calendar day period. If a member fails three buprenorphine or buprenorphine/naloxone inductions within a 365 calendar day period in an OBOT OBAT setting, the member should be referred to an OTP or a higher level of care for assessment for treatment.

Group counseling by credentialed addiction treatment professionals, CSACs and CSAC-supervisees shall have a maximum limit of 12 individuals in the group or less depending on the clinical model. Such counseling shall focus on the needs of the members served.

OBOT OBAT services do not require service authorization.

Individuals under 21 years of age qualifying under EPSDT may receive the services described in excess of any service limit, if services are determined to be medically necessary.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Virginia

Methods and Standards for Establishing Payment Rates: Other Types of Care

Section 6 A (3), continued.

Reimbursement for community substance use disorder services: Rehabilitation Services (42 CFR 447, Subpart F)

(1) Rates for the following addiction and recovery treatment physician and clinic services shall be based on the Agency fee schedule: OTP and <u>OBOT</u> <u>OBAT</u>, which are described in Attachment 3.1A&B, Supplement 1, pages 45-48. OTP and <u>OBOT</u> <u>OBAT</u> services may be provided by physicians, other licensed practitioners, orin clinics, and shall use the following methodologies. For all of the these services, the same rates shall bepaid to governmental and private providers. All rates are published on the DMAS website at https://www.dmas.virginia.gov/#/searchcptcodes

- the induction of medication for opioid use disorder (MOUD) and alcohol use disorder (AUD), which is reimbursed per encounter, and is limited to 3 encounters per 12 months per provider; rate set as of April 1,2017
- Substance Use Care Coordination, which is reimbursed based on a monthly unit, rate set as of April 1, 2017
- Medication Administration, which is reimbursed per daily medication dose, rate set as of April 1, 2017
- Substance Use Disorder Counseling and Psychotherapy, which is reimbursed based on a 15minute unit, rate set as of April 1, 2017
- Telehealth originating site facility fee, which is reimbursed per visit, rate set as of January 1, 2002

-The following services are reimbursed based on CPT codes, with the rates set on various dates: Physician/Nurse Practitioner Evaluation and management visits (rate set 7/1/16); Alcohol Breathalyzer (rate set 7/1/14); Presumptive drug class screening, any drug class (rate set 4.1.17); Definitive drug classes (rate set 4/1/17); RPR Test (rate set 7/1/14); Hepatitis B and C / HIV Tests (rate set 7/1/14); Pregnancy Test (rate set 7/1/14); TB Test (rate set 7/1/16); EKG (rate set 7/1/17).

The Medicaid and commercial rates for similar services as well as the cost for providing services shall be considered when establishing the fee schedules so that payments shall be consistent with economy, efficiency and quality of care.

TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES	1. TRANSMITTAL NUMBER 2. STATE 2 1 0 1 8 Virginia 3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID) 2. STATE				
TO: REGIONAL ADMINISTRATOR CENTERS FOR MEDICARE & MEDICAID SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES	4. PROPOSED EFFECTIVE DATE 10/1/2021				
5. TYPE OF PLAN MATERIAL (Check One)					
NEW STATE PLAN AMENDMENT TO BE CONSIDERED ASNEW PLAN AMENDMENT AMENDMENT					
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AME	NDMENT (Separate transmittal for each amendment)				
6. FEDERAL STATUTE/REGULATION CITATION 42 CFR 440	7. FEDERAL BUDGET IMPACT a. FFY 2022 b. FFY 2023				
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT	9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION				
Attachment 3.1A&B, Supp 1, revised pages 47 and 48 Attachment 4.19-B, revised page 6.02	OR ATTACHMENT <i>(If Applicable)</i> Same as box #8.				
10. SUBJECT OF AMENDMENT Office-Based Opioid Treatment Changed to Office-Based Addiction Treatment					
11. GOVERNOR'S REVIEW (Check One) GOVERNOR'S OFFICE REPORTED NO COMMENT COMMENTS OF GOVERNOR'S OFFICE ENCLOSED	OTHER, AS SPECIFIED				
NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	Secretary of Health and Human Resources				
12. SIGNATURE OF STATE AGENCY OFFICIAL	16. RETURN TO				
13. TYPED NAME Karen Kimsey	Dept. of Medical Assistance Services 600 East Broad Street, #1300				
14. TITLE Director	Richmond VA 23219				
15. DATE SUBMITTED 7/23/2021	Attn: Regulatory Coordinator				
FOR REGIONAL Ö	FICE USE ONLY				
17. DATE RECEIVED 18. DATE APPROVED					
PLAN APPROVED - ON	IE COPY ATTACHED				
19. EFFECTIVE DATE OF APPROVED MATERIAL	20. SIGNATURE OF REGIONAL OFFICIAL				
21. TYPED NAME	22. TITLE				
23. REMARKS					

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of VIRGINIA

AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY and MEDICALLY NEEDY

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<u>Service Component Definitions—Preferred Office-Based</u> Addiction_Treatment	<u>Staff That Provide Service</u> Components
Assessing, ordering, administering, reassessing, and regulating medication and dose levels appropriate to the individual; supervising withdrawal management from opioid analgesics; and overseeing and	Buprenorphine-waivered practitioner licensed by the state; and
facilitating access to appropriate treatment for opioid use disorder and alcohol use disorder.	Credentialed addiction treatment professional
Provide cognitive, behavioral psychotherapies, and other substance use disorder-focused counseling shall be provided to the individual on an individual, group, or family basis and shall be provided in collaboration with the buprenorphine-waivered practitioner.	Credentialed Addiction Treatment Professional, CSAC, CSAC-supervisee.
Provision of onsite screening the ability to refer for screening for infectious diseases such as HIV, Hepatitis B and C, and tuberculosis at treatment initiation and then at least annually or more often based on risk factors. Ability to provide or refer for treatment for infectious diseases as necessary.	Buprenorphine-waivered practitioner licensed by the state; credentialed addiction treatment professional, Pharmacist, Registered Nurse, or Practical Nurse licensed by the state.
 OBAT risk management shall be documented in each individual's record and shall include: Random urine drug testing for all individuals, conducted ata minimum of eight times per 12 month period. Urine drug testing (UDT) is used as part of a comprehensive treatment program to assist with recovery and to restore an individual to health. UDT is used in SUD treatment to determine if the patient is taking medication as prescribed and to assess if the patient is taking other medications which may have a higher risk of overdose. Overdose prevention counseling including the prescribing of naloxone. 	Physician, Pharmacist, Nurse Practitioner, Physician Assistant, Registered Nurse, or Practical Nurse licensed by the state

(Continued on next page)

Revision: HFCA-PM-91-4 August, 1991

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of VIRGINIA

AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY and MEDICALLY NEEDY

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Provider optional peer recovery support services that includes non- medical peer-to-peer activities that engage and support an individual's, and as applicable the caregiver's, self-help efforts to improve health recovery, resiliency, and wellness.	Peer Recovery Support Specialist

Limits on amount, duration, and scope:

OBATs may receive three separate inductions for medications for opioid use disorder per 365 calendar days per member and must be at least 90calendar days apart. Additional physician/nurse practitioner/physician assistant follow up and maintenance visits may be provided within a 365 calendar day period. If a member fails three buprenorphine or buprenorphine/naloxone inductions within a 365 calendar day period in an OBAT setting, the member should be referred to an OTP or a higher level of care for assessment for treatment.

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OBAT services do not require service authorization.

Individuals under 21 years of age qualifying under EPSDT may receive the services described in excess of any service limit, if services are determined to be medically necessary.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State of Virginia

Methods and Standards for Establishing Payment Rates: Other Types of Care

Section 6 A (3), continued.

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