



**Addiction and Recovery Treatment Services (ARTS) Service Authorization Review Form – Initial Request**

**ASAM Levels 2.1/2.5/3.1/3.3/3.5/3.7/4.0**

**Last Updated July 22, 2025**

*No Service Authorization Needed for ASAM Levels 0.5/1.0/OTP/OBOT*

**For fee-for-service member service authorization requests: Please complete the Questionnaire in its entirety through the Atrezzo portal or your case will be administratively rejected.**

**PLEASE TYPE INFORMATION IN THIS FORM AND SEND TO THE DESIGNATED HEALTHCARE PLAN USING THE CONTACT INFORMATION ON PAGE 7.**

**Supporting clinical information may be documented on last page or attached to this form. For adolescents criteria if additional documentation is needed please summarize in the additional clinical documentation section.**

MEMBER INFORMATION	
Member Name:	DOB:
Member ID:	If retroactively enrolled, provide enrollment date:
PROVIDER INFORMATION	
Provider Group/Clinic:	Clinical Contact:
Street Address:	Physician Contact:
City   State   Zip:	Provider ID/NPI:
Phone:	Fax:
<b>ESTIMATED SERVICE START DATE:</b>	
<b>ESTIMATED END DATE FOR THIS EPISODE OF CARE:</b>	

ICD-10 DIAGNOSIS CODE(S) (Enter primary and any applicable co-occurring ICD-10 diagnosis codes)		
1.	3.	5.
2.	4.	6.

SUBSTANCE USE DISORDER TREATMENT HISTORY (Describe other ASAM Levels of Care utilized in past 12 months) (OR ATTACH IN CLINICAL NOTE)				
ASAM Level of Care	Name of Provider	Duration	Approximate Dates	Outcome

MEDICATION
Please list medications, dosage, frequency and prescriber below (OR ATTACH MEDICATION LIST).
N/A <input type="checkbox"/> Unable to Obtain <input type="checkbox"/>

Name of Medication	Dosage	Frequency	Prescriber

ASAM LEVEL OF CARE REQUESTED AND NUMBER OF UNITS (1 unit = 1 day)					
Code/Description Check Appropriate Code		Units	Code/Description Check Appropriate Code		Units
<input type="checkbox"/>	H0015 ASAM 2.1   Intensive Outpatient		<input type="checkbox"/>	H0010 / rev 1002 ASAM 3.5   Clinically Managed High Intensity Residential Services (Adults)*	
<input type="checkbox"/>	Rev 0906 / H0015 ASAM 2.1   Intensive Outpatient		<input type="checkbox"/>	H0010 / rev 1002 ASAM 3.5   Clinically Managed Medium Intensity (Adolescent)**	
<input type="checkbox"/>	S0201 ASAM 2.5   Partial Hospitalization		<input type="checkbox"/>	H2036 / rev 1002 ASAM 3.7   Medically Monitored Intensive Inpatient Services (Adults)*	
<input type="checkbox"/>	Rev 0913 / S0201 ASAM 2.5   Partial Hospitalization		<input type="checkbox"/>	H2036 / rev 1002 ASAM 3.7   Medically Monitored High Intensity Inpatient Services (Adolescent)**	
<input type="checkbox"/>	H2034 ASAM 3.1   Clinically Managed Low-Intensity Residential Services		<input type="checkbox"/>	H0011 / Rev 1002 ASAM 4.0   Medically Managed Inpatient Services	
<input type="checkbox"/>	H0010 / rev 1002 Mod TG ASAM 3.3   Clinically Managed Population-Specific High-Intensity Residential Services		<b>*Adult - use modifier HB</b> <b>**Adolescent - use modifier HA</b>		

ASSESSMENT AND SCORING	
DIMENSION 1   Acute Intoxication and/or Withdrawal Potential	
<input type="checkbox"/>	No withdrawal
<input type="checkbox"/>	Minimal Risk of severe withdrawal (ASAM Level 2.1)
<input type="checkbox"/>	Moderate risk of severe withdrawal (ASAM Level 2.5)
<input type="checkbox"/>	No withdrawal risk, or minimal or stable withdrawal (ASAM Level 3.1)
<input type="checkbox"/>	At minimal risk of severe withdrawal (ASAM Level 3.3 or 3.5)
<input type="checkbox"/>	<b>ASAM LEVEL 3.7 ONLY:</b> Patient has the potential for life threatening withdrawal (must meet at least two of the six dimensions, at least one of which is within dimension 1, 2, or 3)
<input type="checkbox"/>	<b>ASAM LEVEL 4.0 ONLY:</b> Patient has life threatening withdrawal symptoms, possible or experiencing seizures or DT's or other adverse reactions are imminent

**Provide brief summary of the member's needs/strengths for Dimension 1(OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):**

**For members with an Opioid Use Disorder, please describe the plan to offer medication assisted treatment (MAT):**

**ASAM Level:**

**Provide all supporting clinical documentation to justify your assessment in this dimension and your recommended ASAM Level (via attachments).**

### **DIMENSION 2 | Biomedical Conditions/Complications**

- ☐ None or not sufficient to distract from treatment (ASAM Level 2.1 or 2.5)
- ☐ None/stable or receiving concurrent treatment – moderate stability (3.1, 3.3, 3.5)
- ☐ Require 24-hour medical monitoring, but not intensive treatment (3.7)
- ☐ **ASAM LEVEL 4.0 ONLY:** Severe instability requires 24-hour medical care in licensed medical facility. May be the result of life threatening withdrawal or other co-morbidity

**Provide brief summary of the member's needs/strengths for Dimension 2 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):**

**ASAM Level:**

**Provide all supporting clinical documentation to justify your assessment in this dimension and your recommended ASAM Level (via attachments).**

### **DIMENSION 3 | Emotional/Behavioral/Cognitive Conditions**

- ☐ None or very stable (ASAM Level 1.0)
- ☐ Mild severity, with potential to distract from recovery; needs monitoring (ASAM Level 2.1)
- ☐ Mild to moderate severity; with potential to distract from recovery; needs to stabilize (ASAM Level 2.5)
- ☐ Non or minimal; not distracting to recovery (ASAM Level 3.1)
- ☐ Mild to moderate severity; needs structure to focus on recovery (ASAM Level 3.3)
- ☐ Demonstrates repeated inability to control impulses, or unstable with symptoms requiring stabilization (ASAM Level 3.5)
- ☐ Moderate severity needs 24-hour structured setting (ASAM Level 3.7)
- ☐ Severely unstable requires 24-hour psychiatric care (ASAM Level 4.0)

**Provide brief summary of the member's needs/strengths for Dimension 3 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):**

**ASAM Level:**

**Provide all supporting clinical documentation to justify your assessment in this dimension and your recommended ASAM Level (via attachments).**

#### **DIMENSION 4 | Readiness to Change**

<input type="checkbox"/>	Readiness for recovery but needs motivating and monitoring strategies to strengthen readiness, or needs ongoing monitoring and disease management (ASAM Level 1.0)
<input type="checkbox"/>	Has variable engagement in treatment, lack of awareness of the seriousness of substance use and/or coexisting mental health problems. Requires treatment several times per week to promote change (ASAM Level 2.1)
<input type="checkbox"/>	Has variable engagement in treatment, lack of awareness of the seriousness of substance use and/or coexisting mental health problems. Requires treatment almost daily to promote change (ASAM Level 2.5)
<input type="checkbox"/>	Open to recovery but requires structured environment (ASAM Level 3.1)
<input type="checkbox"/>	Has little awareness of need for change due to cognitive limitations and addition and requires interventions to engage to stay in treatment (ASAM Level 3.3)
<input type="checkbox"/>	Has marked difficulty with treatment or opposition due to functional issues or ongoing dangerous consequences (ASAM Level 3.5)
<input type="checkbox"/>	Poor impulse control, continues to use substances despite severe negative consequences (medical, physical or situational) and requires a 24-hour structured setting (ASAM Level 3.7)

**Provide brief summary of the member's needs/strengths for Dimension 4 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):**

**ASAM Level:**

**Provide all supporting clinical documentation to justify your assessment in this dimension and your recommended ASAM Level (via attachments).**

#### **DIMENSION 5 | Relapse, Continued Use or Continued Problem Potential**

<input type="checkbox"/>	Minimal support required to control use, needs support to change behaviors (ASAM Level 1.0)
<input type="checkbox"/>	High likelihood of relapse/continued use or addictive behaviors, requires services several times per week (ASAM Level 2.1)
<input type="checkbox"/>	Intensification of addition and/or mental health issues and has not responded to active treatment provided in a lower levels of care. High likelihood of relapse, requires treatment almost daily to promote change (ASAM Level 2.5)
<input type="checkbox"/>	Understands relapse but needs structure (ASAM Level 3.1)
<input type="checkbox"/>	Has little awareness of need for change due to cognitive limitations and addition and requires interventions to engage to stay in treatment (ASAM Level 3.3)
<input type="checkbox"/>	Does not recognize the severity of treatment issues, has cognitive and functional deficits (ASAM Level 3.5)
<input type="checkbox"/>	Unable to control use, requires 24-hour supervision, imminent dangerous consequences (ASAM Level 3.7)

**Provide brief summary of the member's needs/strengths for Dimension 5 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):**

**ASAM Level:**

**Provide all supporting clinical documentation to justify your assessment in this dimension and your recommended ASAM Level (via attachments).**

### **DIMENSION 6 | Recovery/Living Environment**

- ☐ Supportive recovery environment and patient has skills to cope with stressors (ASAM Level 1.0)
- ☐ Not a fully supportive environment but patient has some skills to cope (ASAM Level 2.1)
- ☐ Not a supportive environment but can find outside supportive environment (ASAM Level 2.5)
- ☐ Environment is dangerous, patient needs 24-hour structure to learn to cope (ASAM Level 3.1 or 3.3)
- ☐ Environment is imminently dangerous, patient lacks skills to cope outside of a highly structured environment (ASAM Level 3.5 or 3.7)

**Provide brief summary of the member's needs/strengths for Dimension 6 (OR ATTACH CLINICAL NOTE WITH ASAM ASSESSMENT):**

**ASAM Level:**

**Provide all supporting clinical documentation to justify your assessment in this dimension and your recommended ASAM Level (via attachments).**

**Additional Clinical Documentation:**

Not applicable ☐



For members with an Opioid Use Disorder, your signature indicates that the provider has:

- Educated the member that MAT is the standard of care;
- Performed an assessment that specifically addresses MAT with specific recommendations; and
- Documented how member will receive access to MAT for both withdrawal management and maintenance, including coordination of access when clinically indicated.

<b>SIGNATURE OF STAFF COMPLETING THE FORM</b>	
<b>Name (print):</b>	
<b>Signature/Credential:</b>	<b>Date:</b>



**Please note: The Contractor shall respond to the the ARTS Service Authorization Request Forms within 72 hours of the request for placement at Intensive Outpatient and Partial Hospitalization (ASAM Levels 2.1, 2.5), Group Home (ASAM Level 3.1), Residential Treatment Services (ASAM levels 3.3, 3.5, and 3.7) and Inpatient Hospitals (ASAM Level 4.0).**

CONTACT INFORMATION		
Fee-for-Service (FFS)	Phone Number	Fax Number
<b>Acentra Health (formally KePro)</b> Submit via the portal for ASAM levels 2.1 -4.0: <a href="https://atrezzo.kepro.com/Account/Login.aspx">https://atrezzo.kepro.com/Account/Login.aspx</a>	(804) 622-8900 (local) (888) 827-2884 (toll-free)	(877) 652-9329
Cardinal Care Managed Care (CCMC)	Phone Number	Fax Number
<b>Aetna Better Health</b>	(800) 279-1878	(833) 757-1583 (ARTS) (855) 661-1828 (outpatient)
<b>Anthem Healthkeepers Plus</b>	(800) 901-0020	(844) 445-6646
<b>Humana Healthy Horizons in Virginia</b>	(844) 881-4482	(931) 650-3707
<b>Sentara Community Plan</b>	(800) 881-2166	(844) 366-3899 (757) 837-4878
<b>Sentara Community Plan Kaiser Permanente</b>	(301) 625-5561	(855) 414-1703
<b>UnitedHealthcare</b>	(844) 284-0146	(855) 368-1542

**Please Note:** Kaiser Counties and Cities include: Loudon, Prince William, Fairfax, Arlington, Alexandria, Manassas Park, Manassas, Fairfax and Falls Church.